

Complete WMAP Constraints on Inflationary Features

Cora Dvorkin
University of Chicago (now at IAS)

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Outline

- Inflation overview
- General method to constrain the inflationary potential from CMB observations allowing for features
- Theoretical framework
- Analysis of data
- Conclusions and future directions

Inflation

A.Guth, PRD (1981)

- A period of accelerated expansion: $\ddot{a} > 0$
- Explains why the universe is approximately homogeneous and spatially flat.

Sourced by a **negative pressure**:

$$\frac{\ddot{a}}{a} = -\frac{4\pi G}{3} (\rho + 3p) > 0 \leftrightarrow p < -\frac{\rho}{3}$$

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What causes inflation?

The Dynamics of Inflation

$$H^2 = \left(\frac{\dot{a}}{a} \right)^2$$

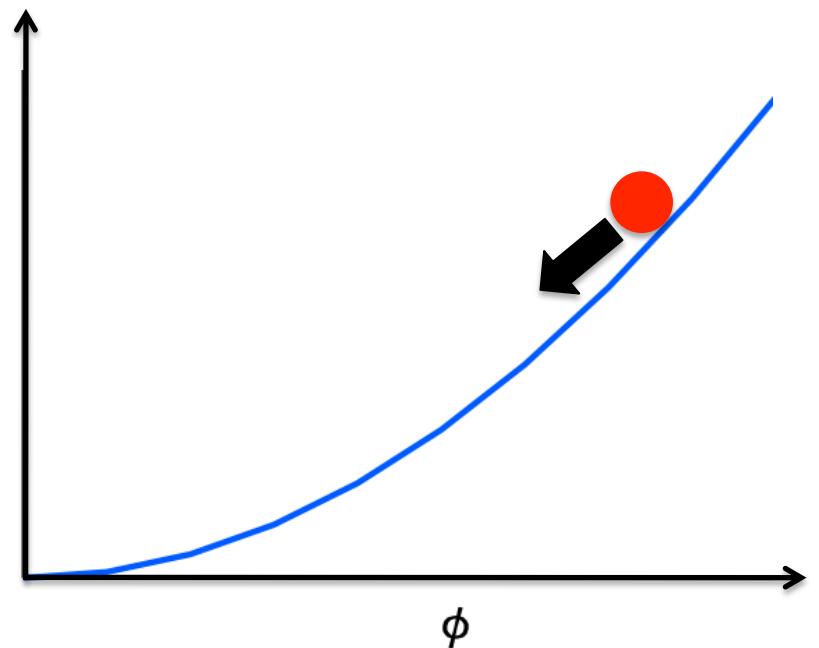
← expansion rate

$$= \frac{8\pi G}{3} \left[\frac{1}{2} \dot{\phi}^2 + V(\phi) \right]$$

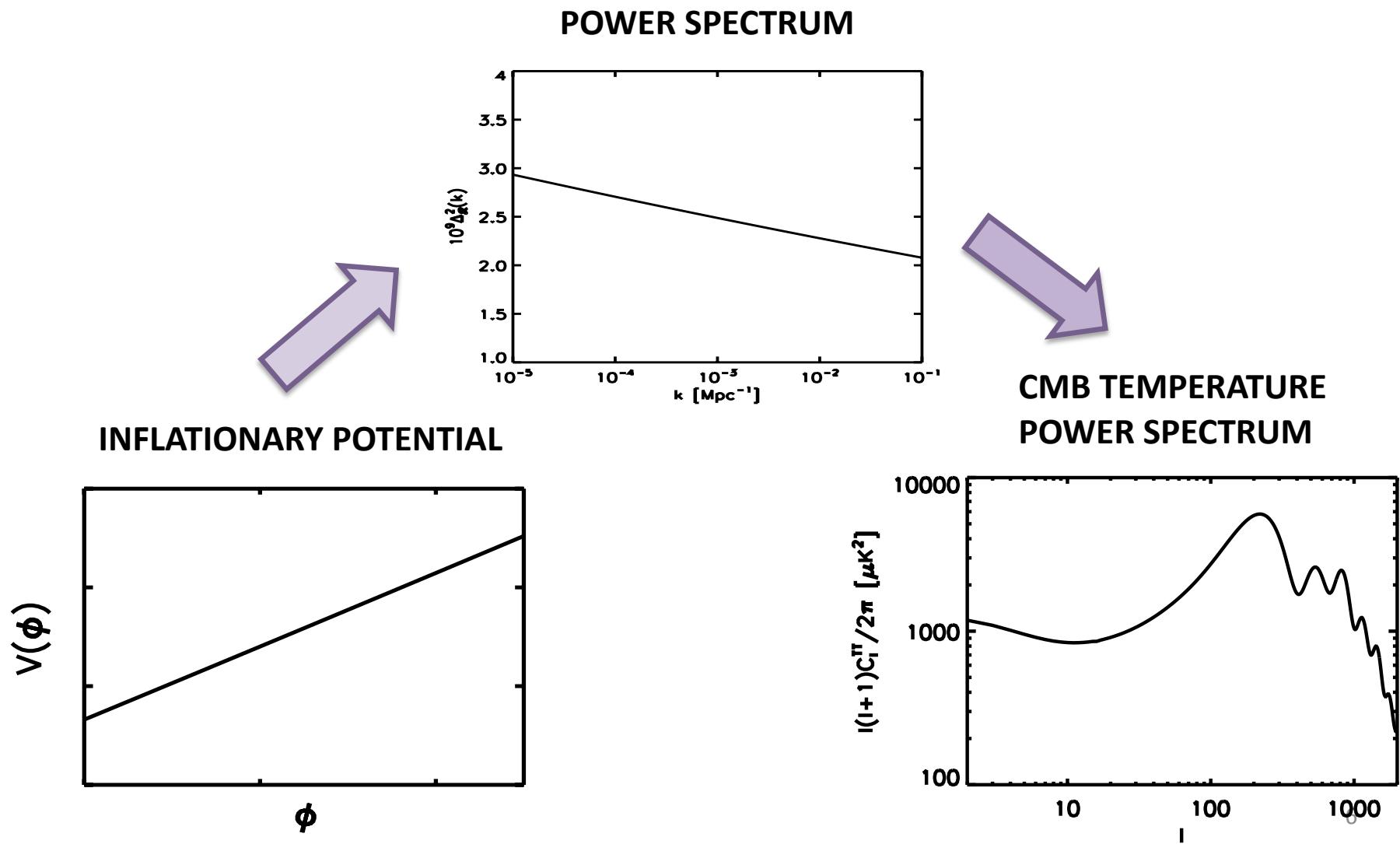
↑
energy density

$$\ddot{\phi} + 3H\dot{\phi} + V' = 0$$

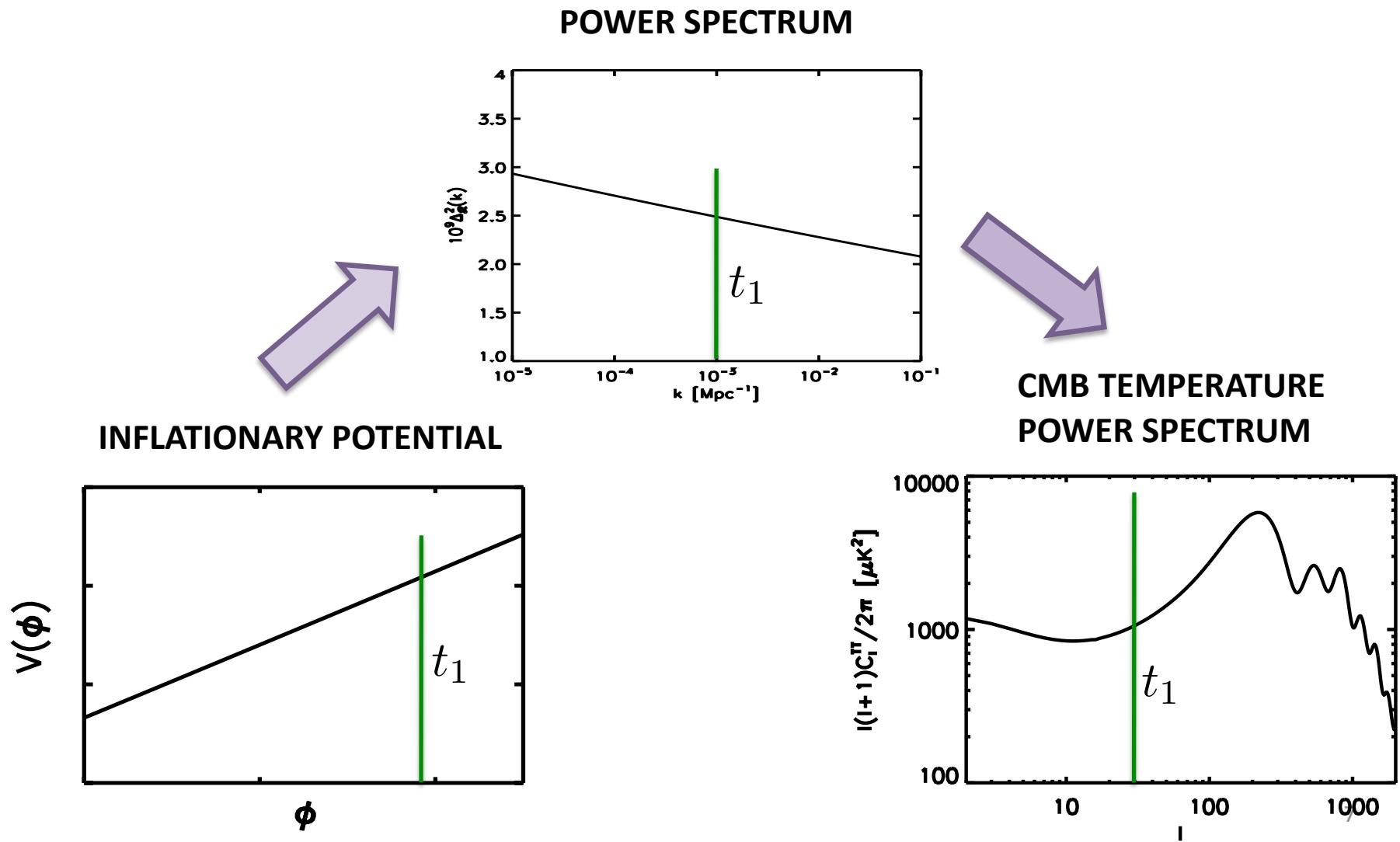
→ friction



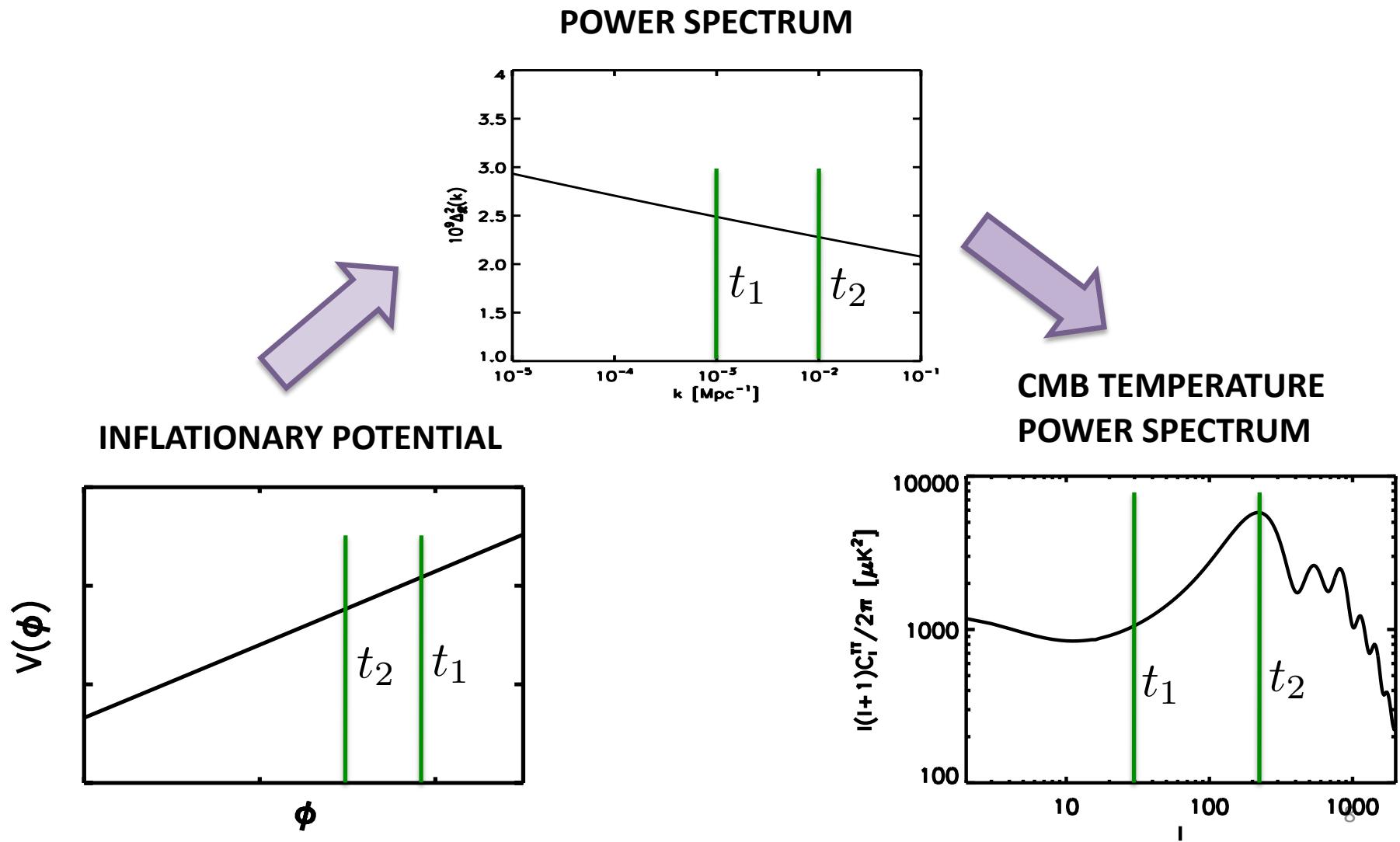
Connecting Theory with Observations



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Connecting Theory with Observations

**Goal: to shed light on the physics of inflation
by using CMB observations**

Standard Slow Roll

Technique for computing the initial curvature power spectrum $\Delta_{\mathcal{R}}^2$ for inflationary models where the scalar field potential is sufficiently **flat** and **slowly varying**.

$$\begin{aligned}\epsilon_H &\equiv \frac{1}{2} \left(\frac{\dot{\phi}}{H} \right)^2 \\ \eta_H &\equiv - \left(\frac{\ddot{\phi}}{H\dot{\phi}} \right) \\ \delta_2 &\equiv \frac{\dots}{H^2 \dot{\phi}}\end{aligned}$$



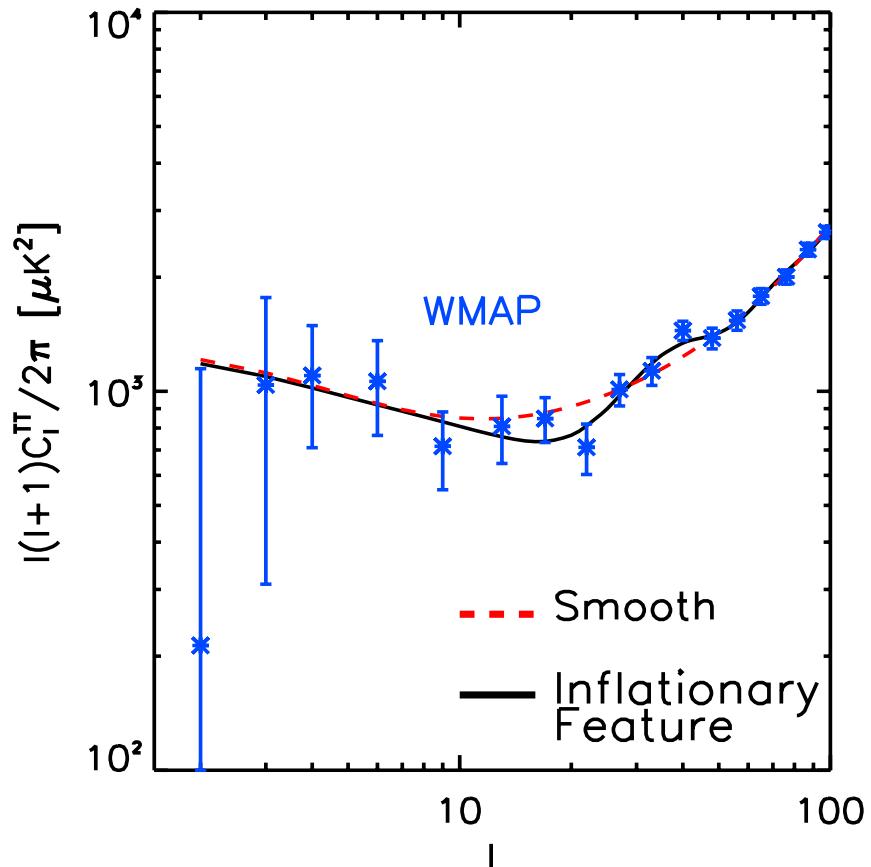
Linked to the **shape of the potential**

Slow-roll parameters

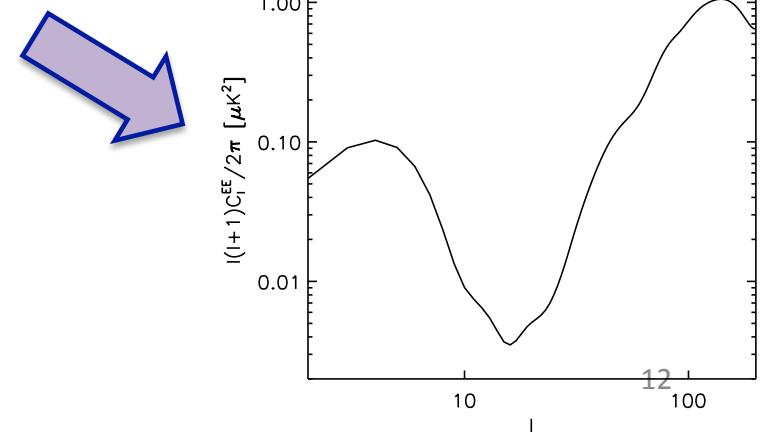
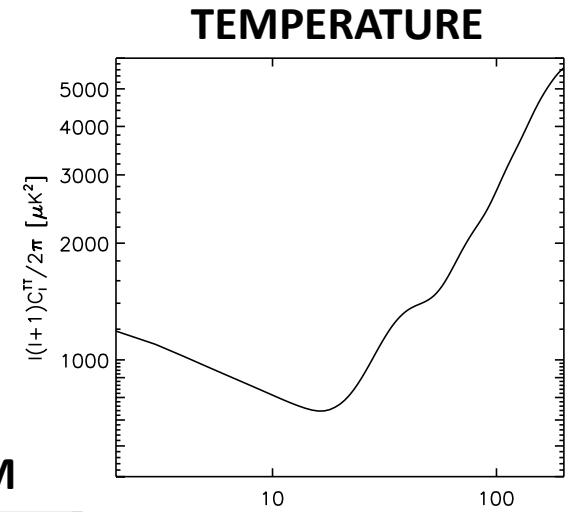
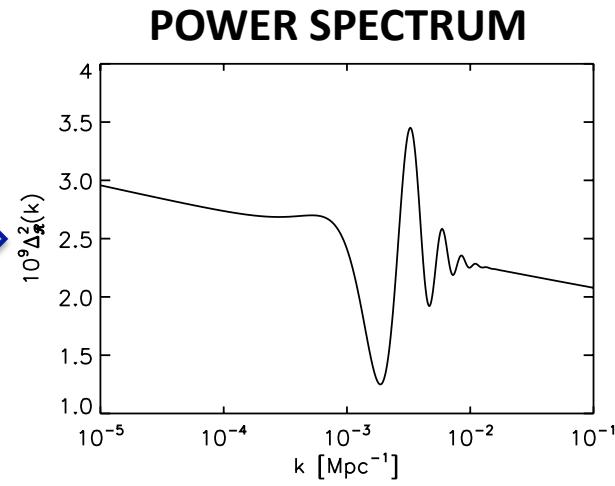
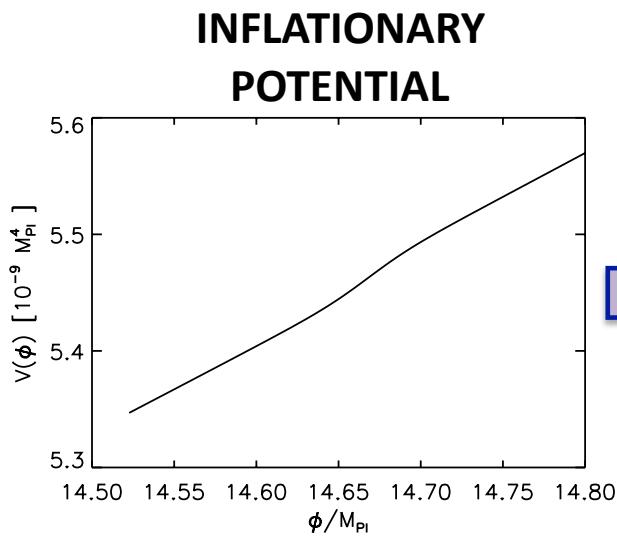
$\ll 1$ and slowly varying

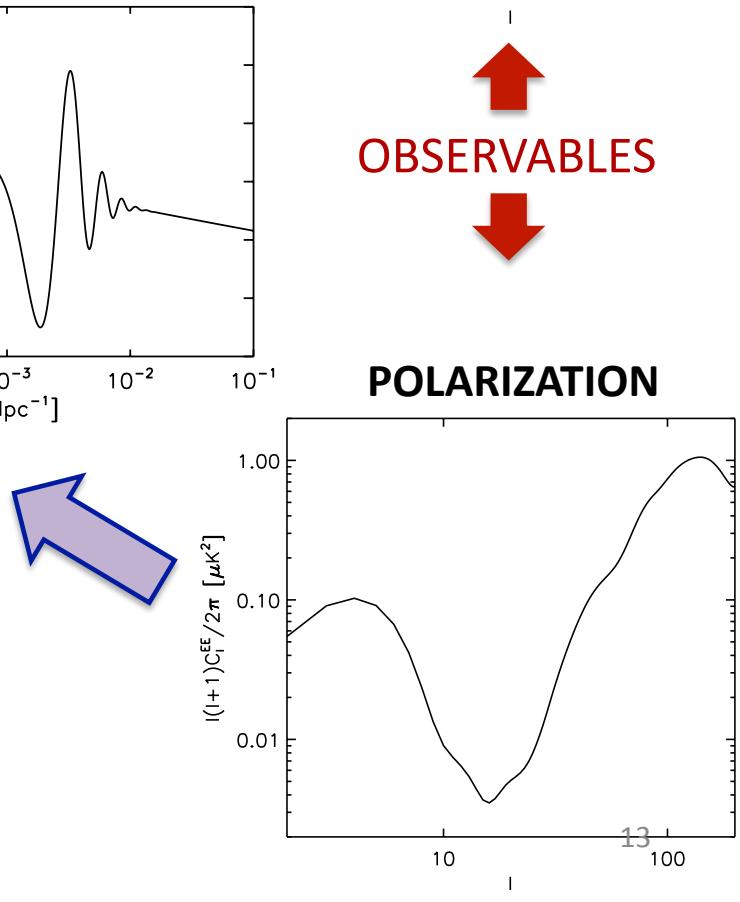
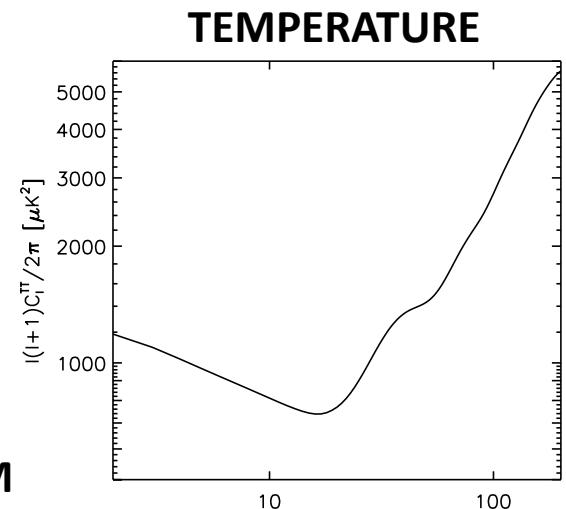
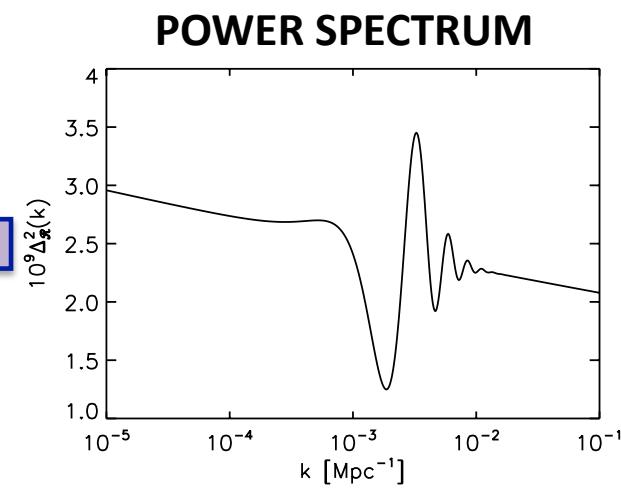
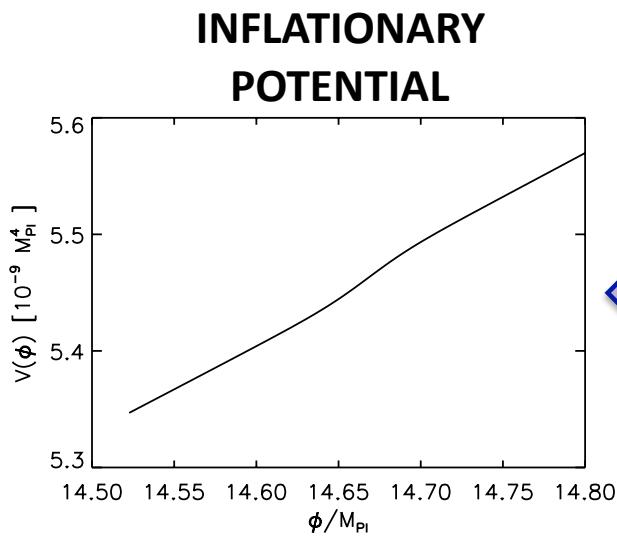
$$\text{Slow roll approximation: } \Delta_{\mathcal{R}}^2 \approx \left[(1 - (2C + 1)\epsilon_H - C\eta_H) \frac{H^2}{2\pi|\dot{\phi}|} \right]_{k\eta \approx 1}^2$$

Inflationary Features



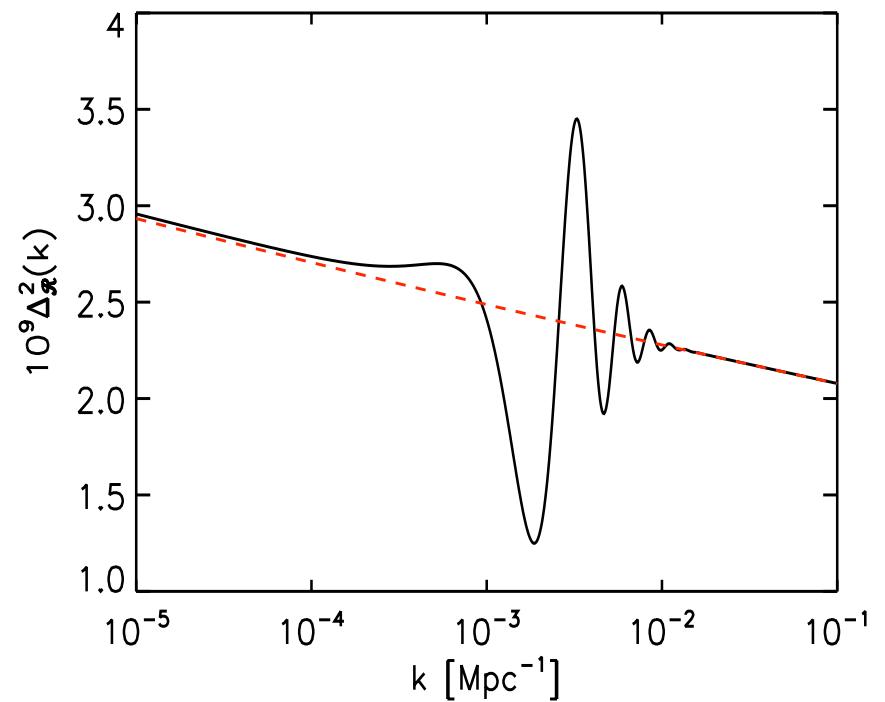
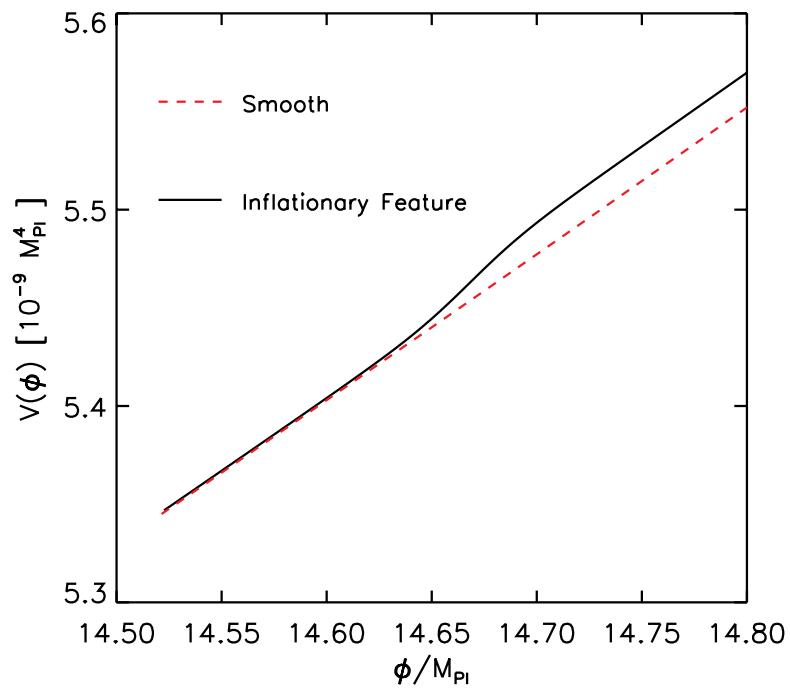
- Glitches in the temperature power spectrum of the CMB have led to interest in exploring models with features in the inflaton potential.
- ❖ *L.Covi, J.Hamann, A.Melchiorri, A.Slozar and I.Sorbera, (2006)*
- ❖ *M.Mortenson, C.Dvorkin, H.V.Peiris and W.Hu, PRD (2009)*





Inflationary Features

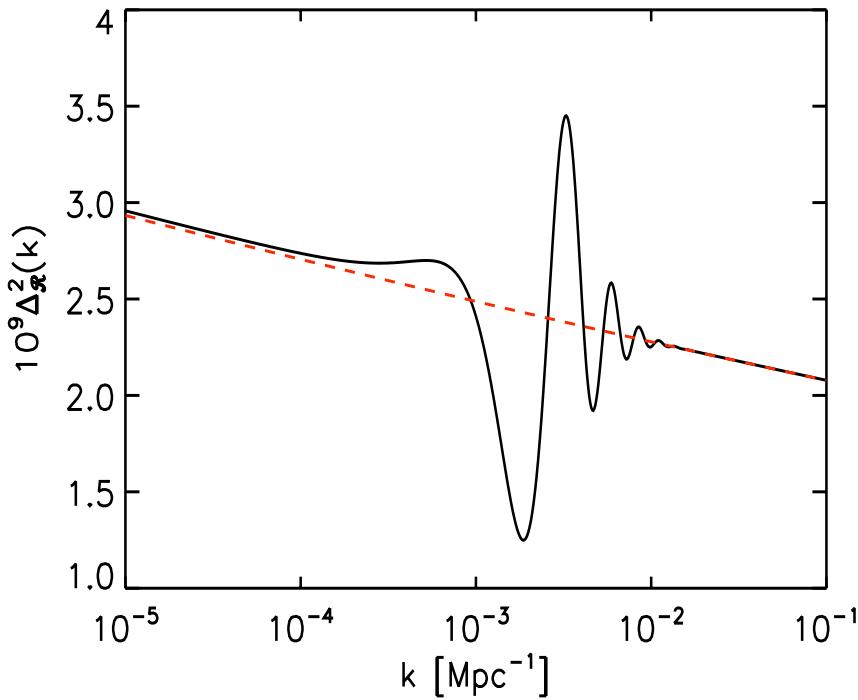
The rolling of the inflaton across the **feature** produces **ringing** in the power spectrum.



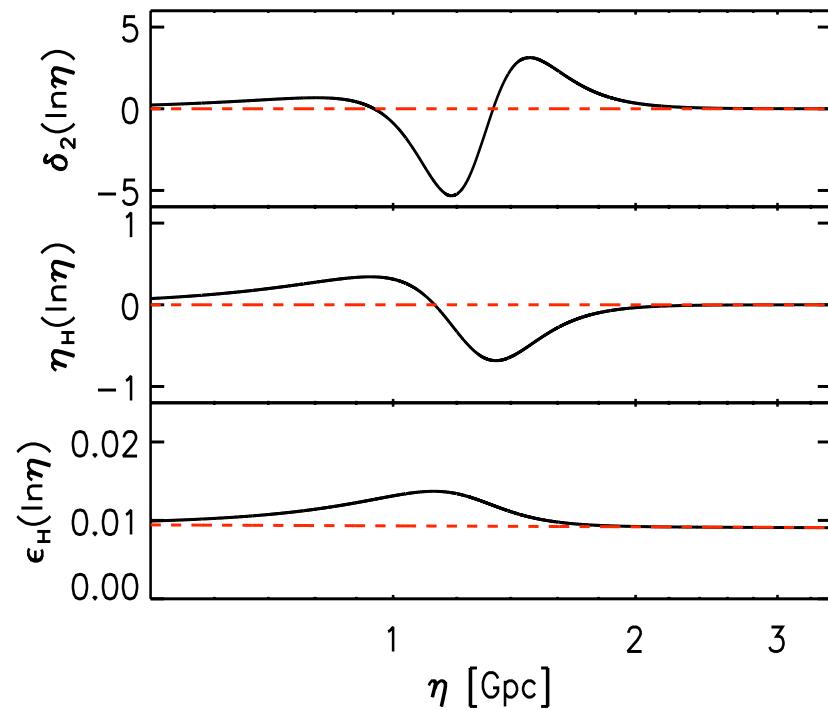
M.Mortenson, C.Dvorkin, H.V.Peiris and W.Hu, PRD (2009)

Breaking Slow Roll

- These models require **order unity variations** in the curvature power spectrum: slow-roll parameters are **not necessarily small or slowly varying.**



Standard slow roll:
not a valid approximation!



Generalized Slow Roll

E. Stewart, PRD (2002)

- Field equation:

$$(y = \sqrt{2k}u_k; x = k\eta)$$

$$\frac{d^2y}{dx^2} + \left(1 - \frac{2}{x^2}\right)y = \frac{g(\ln x)}{x^2}y$$

Source function

- de-Sitter solution:

$$\frac{d^2y_0}{dx^2} + \left(1 - \frac{2}{x^2}\right)y_0 = 0$$

- GSR approximation:

$$\frac{d^2y}{dx^2} + \left(1 - \frac{2}{x^2}\right)y = \frac{g(\ln x)}{x^2}y_0$$

Solution can be constructed with a **Green function approach**.

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Solution can be constructed with a **Green function approach.**

BUT...

- Nodes in the power spectrum.
- Curvature is **not constant** for modes outside the horizon.¹⁷

Our GSR solution for large features

- The curvature power spectrum depends on a **single source function**:

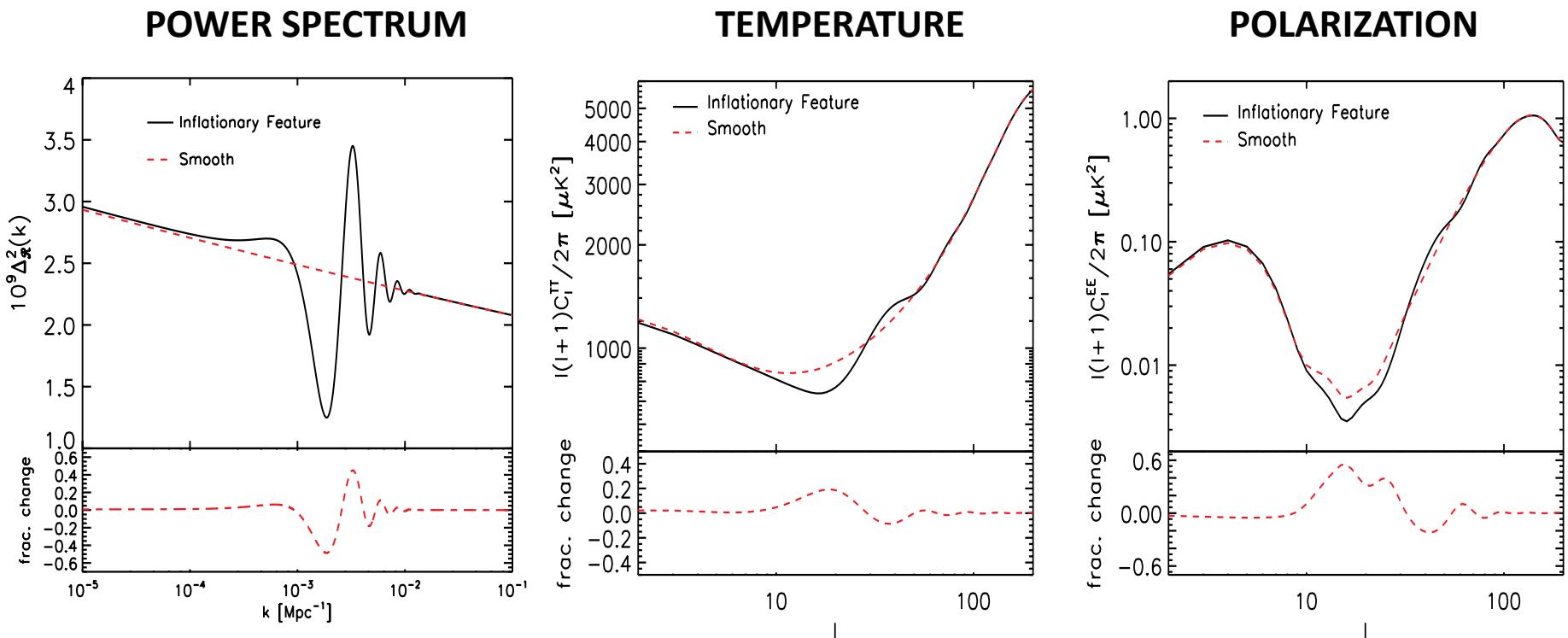
$$\begin{aligned}\ln \Delta_{\mathcal{R}}^2(k) &= G(\ln \eta_{\min}) + \int_{\eta_{\min}}^{\eta_{\max}} \frac{d\eta}{\eta} W(k\eta) G'(\ln \eta) \\ &\quad + \ln \left[1 + \frac{1}{2} \left(\int_{\eta_{\min}}^{\eta_{\max}} \frac{d\eta}{\eta} X(k\eta) G'(\ln \eta) \right)^2 \right]\end{aligned}$$

C.Dvorkin, W.Hu, PRD (2009)

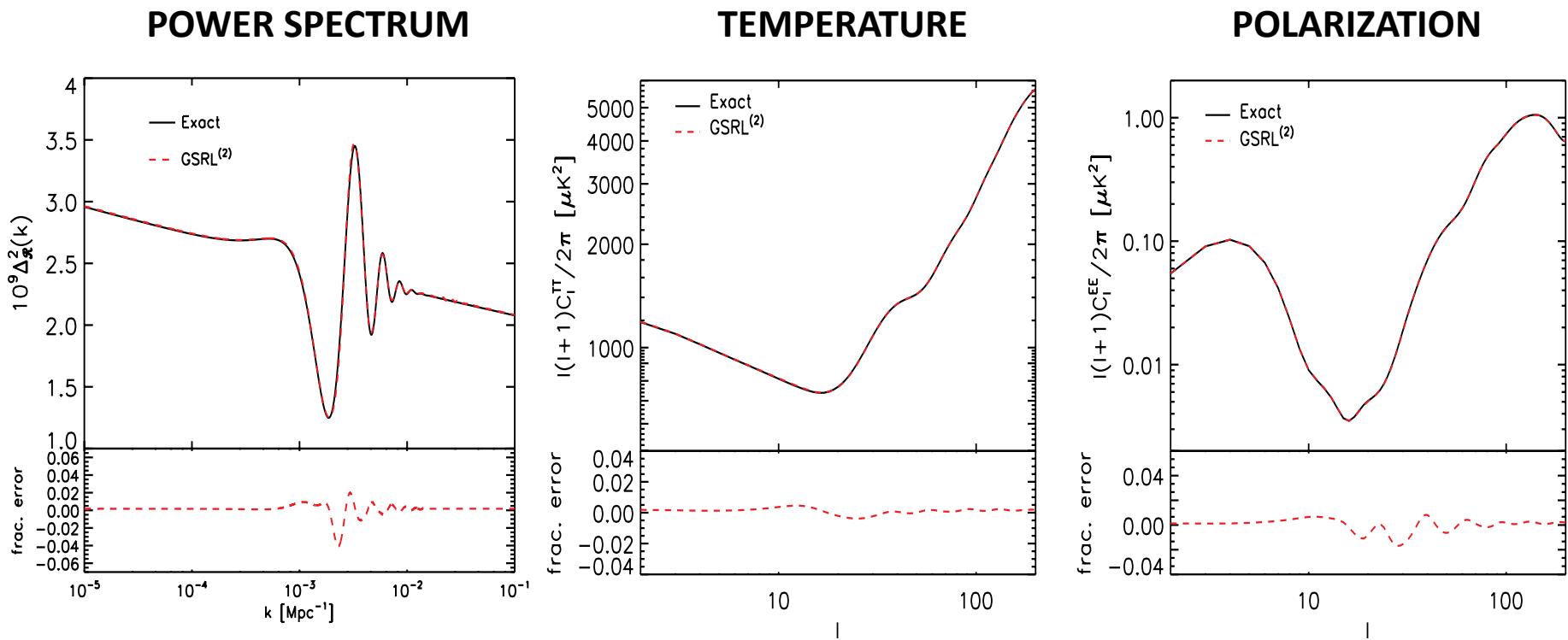
- ✓ **Constant curvature** for modes outside the horizon.
- ✓ We recover the slow-roll result for a constant source.
- ✓ **Well controlled** for time varying and order unity slow-roll parameters: percent level errors.
- ✓ Simple to relate to the inflaton potential:

$$G' \approx 3 \left(\frac{V_{,\phi}}{V} \right)^2 - 2 \left(\frac{V_{,\phi\phi}}{V} \right)_{18}$$

Second order Generalized Slow Roll: Well controlled



Second order Generalized Slow Roll: Well controlled



C.Dvorkin, W.Hu, PRD (2009)

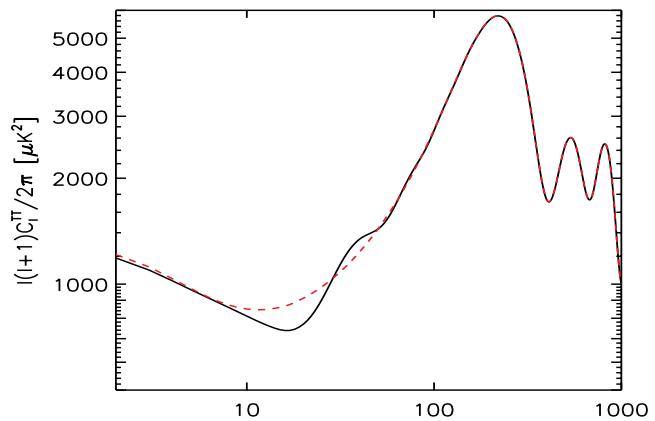
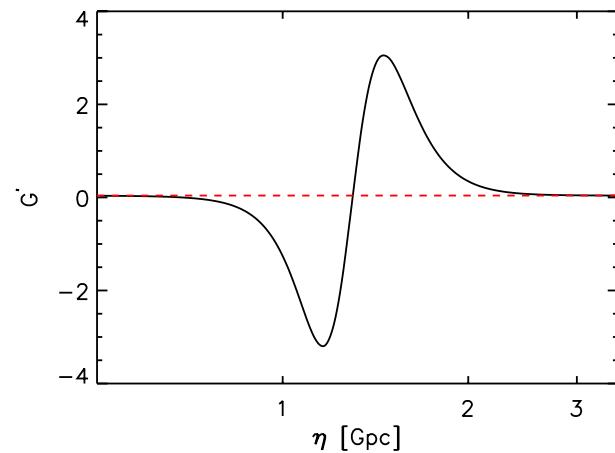
Accurate at <1% level for order unity features!

We can map observational constraints from the CMB onto constraints on the source...

◆ Power spectrum

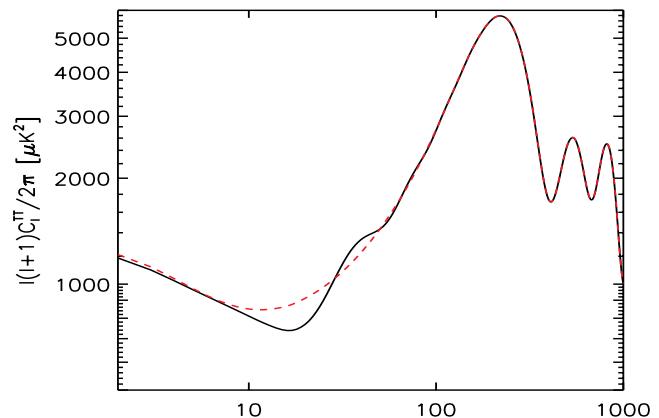


◆ Source

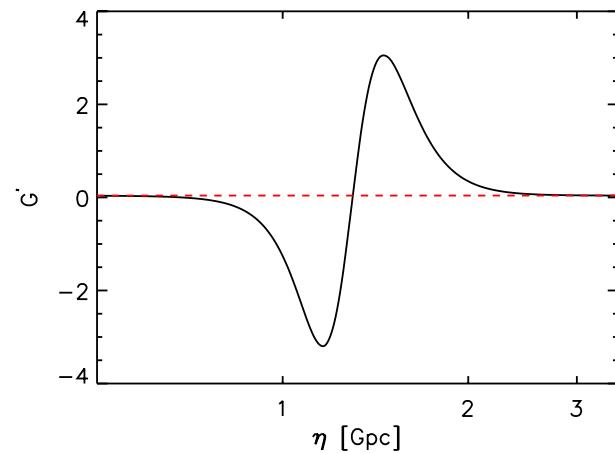


...and use these empirical constraints to test any model of single-field inflation.

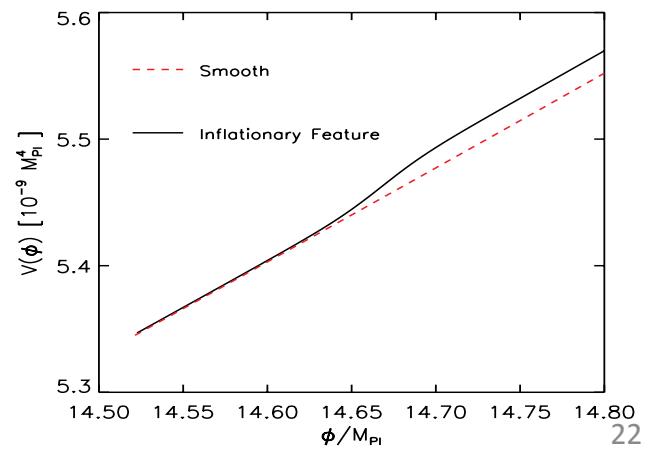
◆ Power spectrum



◆ Source



◆ Inflationary
Model



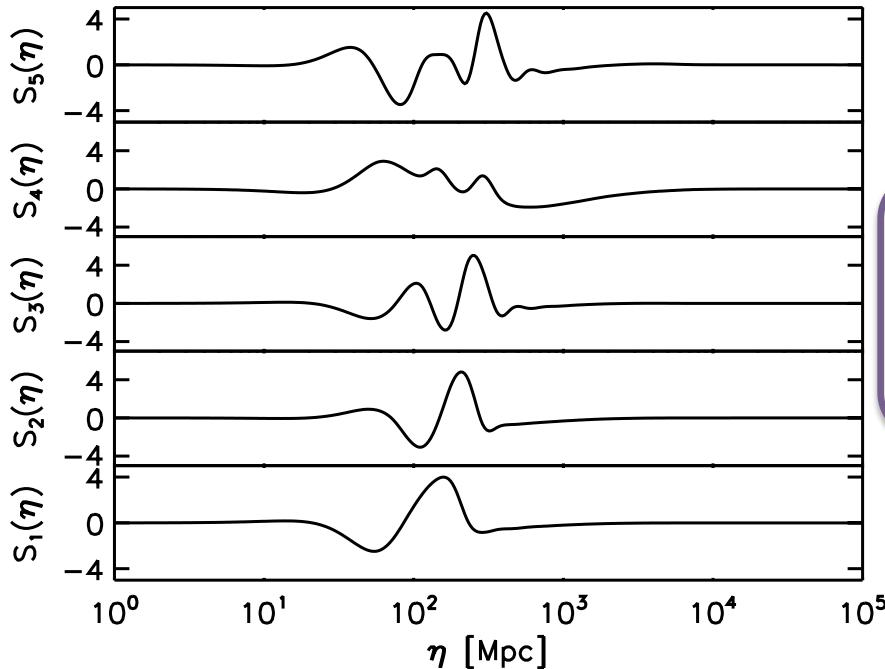
Outline

- Inflation overview
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Model-independent constraints

Principal components (of covariance matrix of perturbations in the source): basis for a complete representation of observable properties of the source function.

$$G' = 1 - n_s + \sum_{a=1}^N m_a S_a(\ln \eta)$$

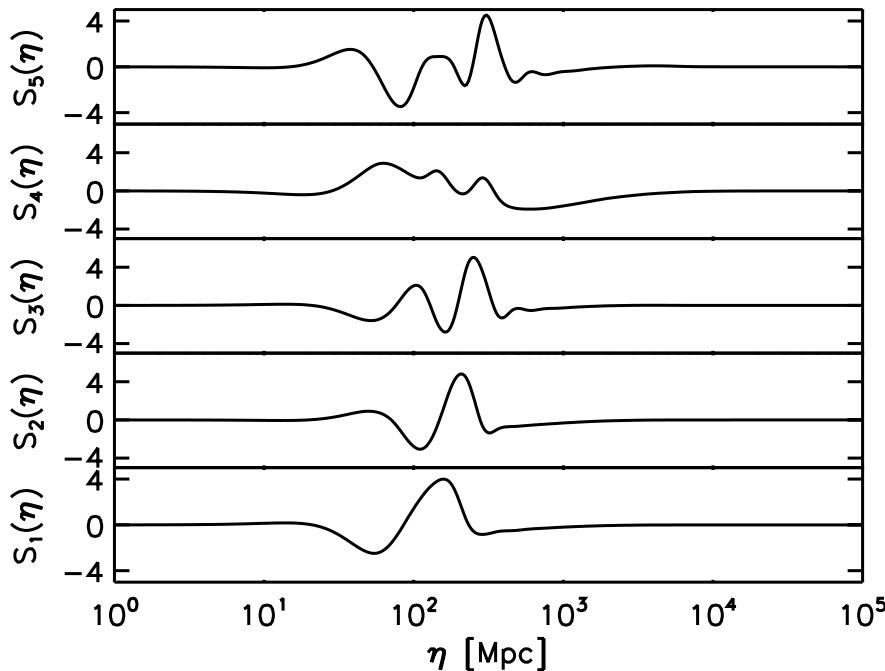


Defined a priori from covariance matrix: **avoids *a posteriori* bias when looking at the data.**

Model-independent constraints

Principal components (of covariance matrix of perturbations in the source): basis for a complete representation of observable properties of the source function.

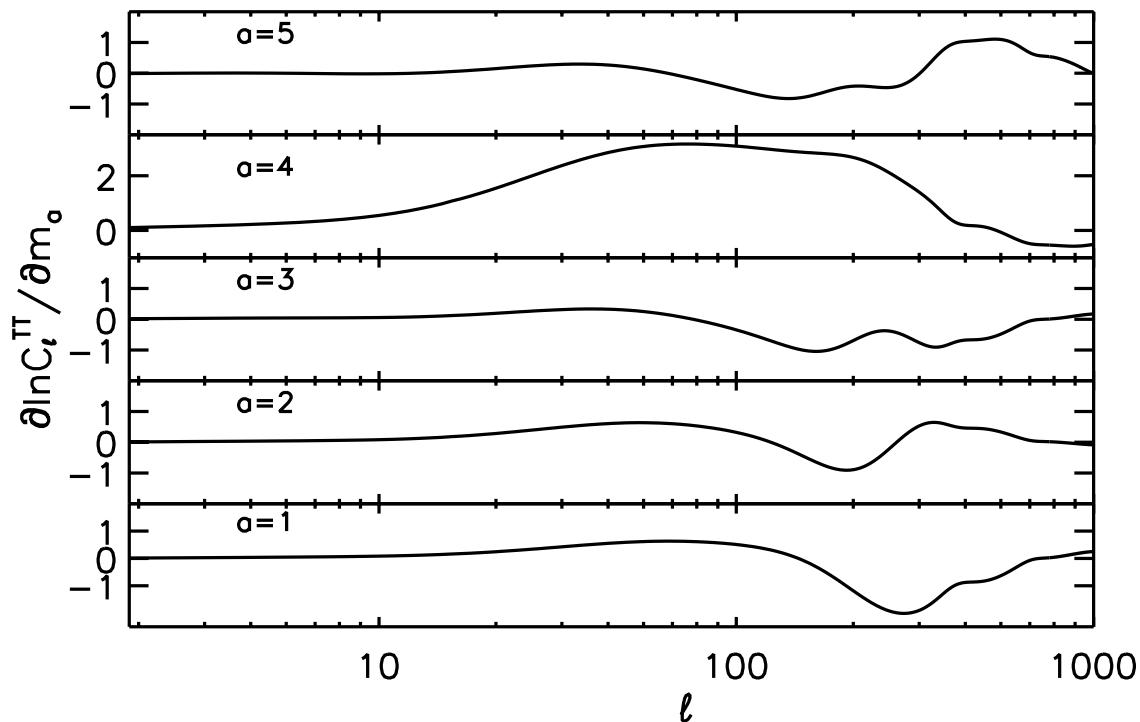
$$G' = 1 - n_s + \sum_{a=1}^N m_a S_a(\ln \eta)$$



- Ranked in order of observability.
- Keep 5 best measured modes.

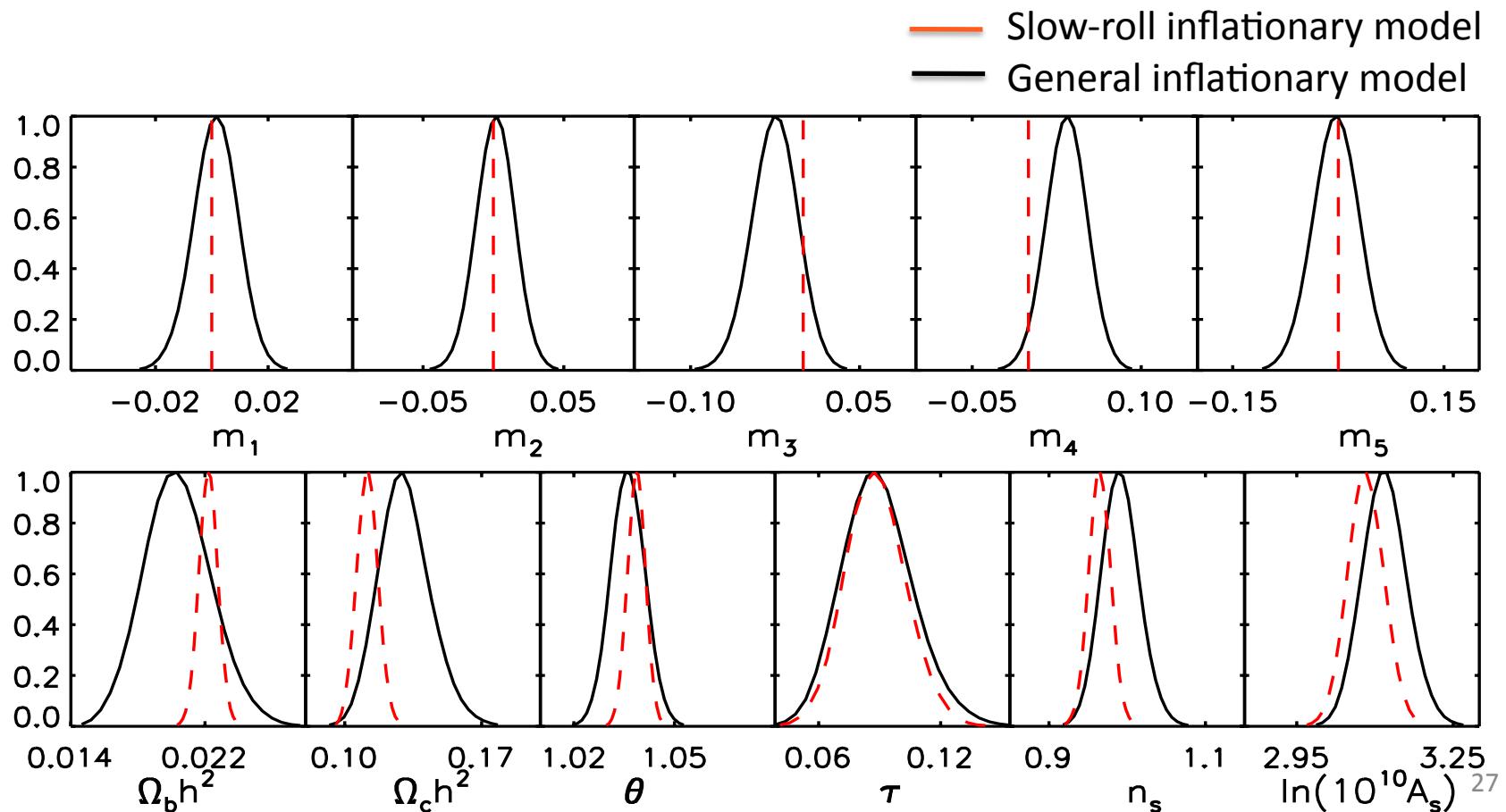
Lower order PC's in WMAP

- Have their weight in the region best measured by the data (angular scales around the first acoustic peak, $\ell \approx 200$).



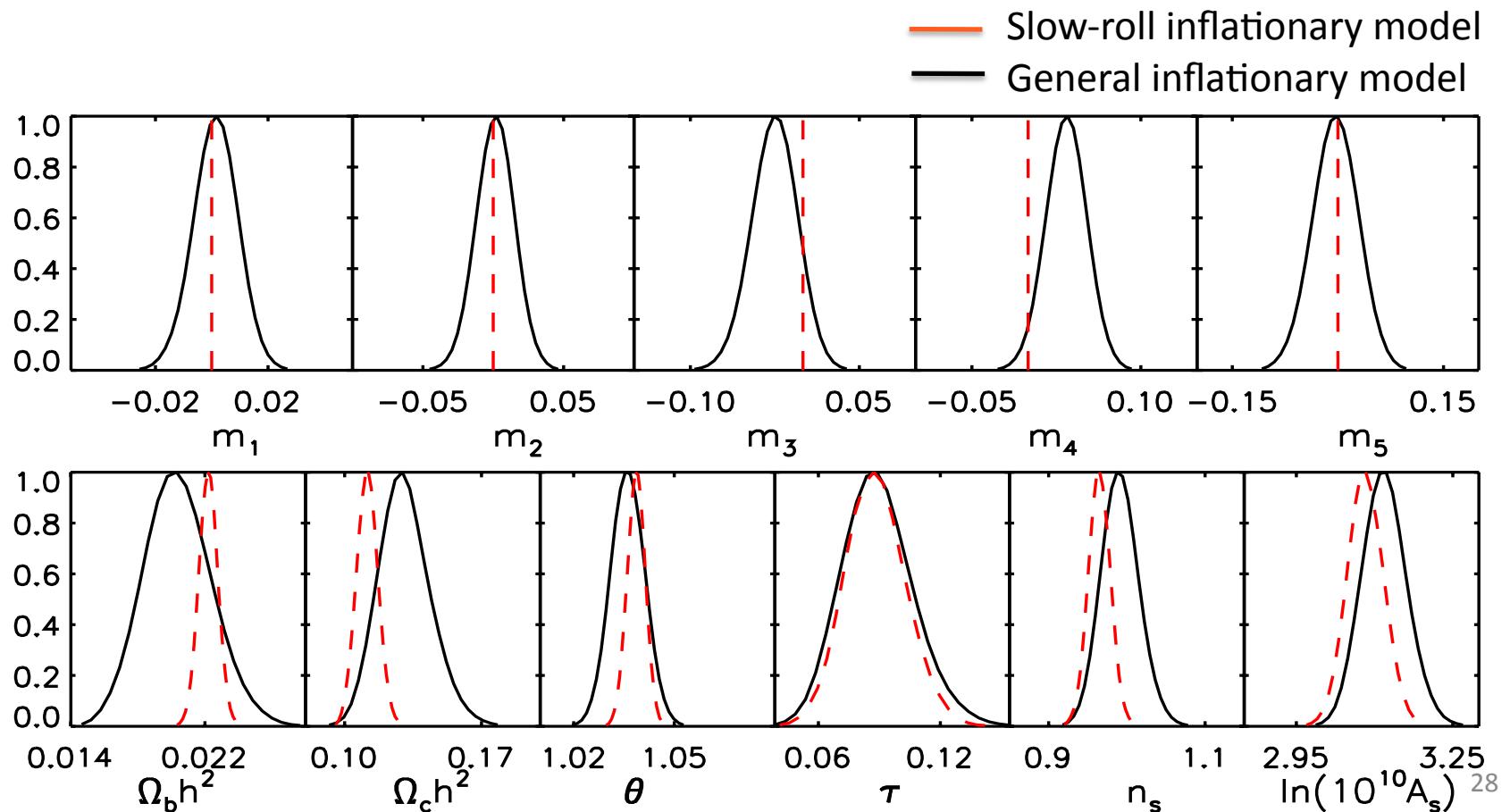
WMAP7 constraints on the first 5 PCs

- Non-zero values represent deviations from slow-roll and power-law spectrum.
- 1 out of 5 shows a 95% CL preference for a non-zero value, but only with a high cold dark matter density (which is disfavored by current SN and H0 data).

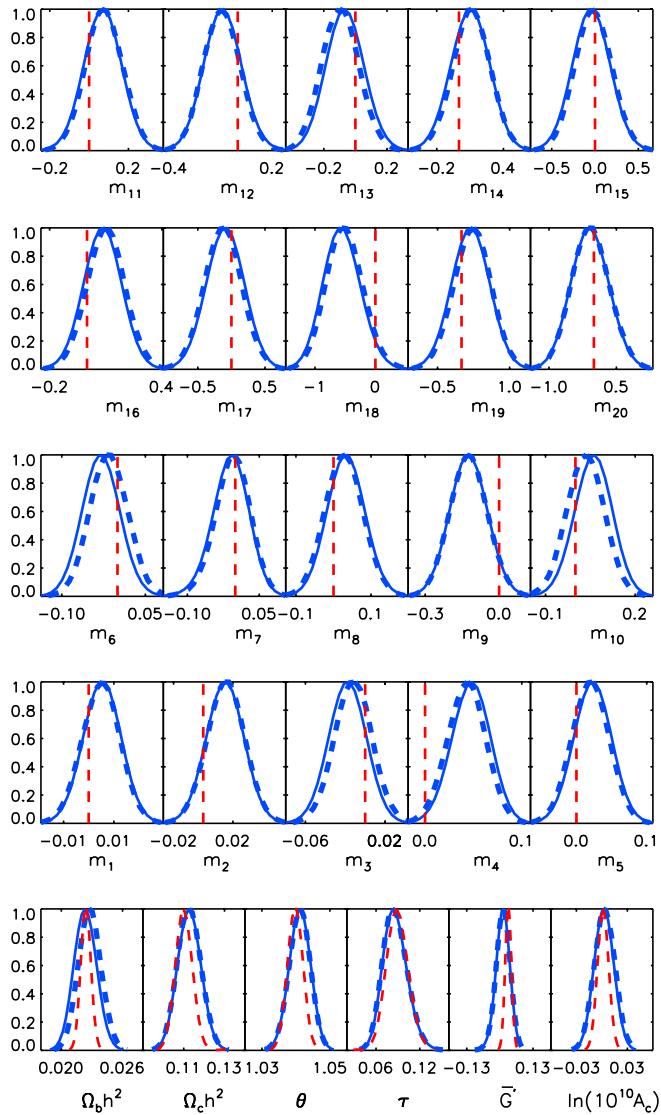


WMAP7 constraints on the first 5 PCs

- Consistency with a smooth inflationary potential: $\Delta\chi^2 \approx 5$ (with 5 additional parameters); robust to inclusion of tensor modes, spatial curvature and SZ emission.



Complete basis for Inflationary Features



- The fourth component is again the most discrepant mode.
- 3 components out of 20 exceed the 90% CL significance for nonzero value.

C.Dvorkin, W.Hu, PRD (2011)

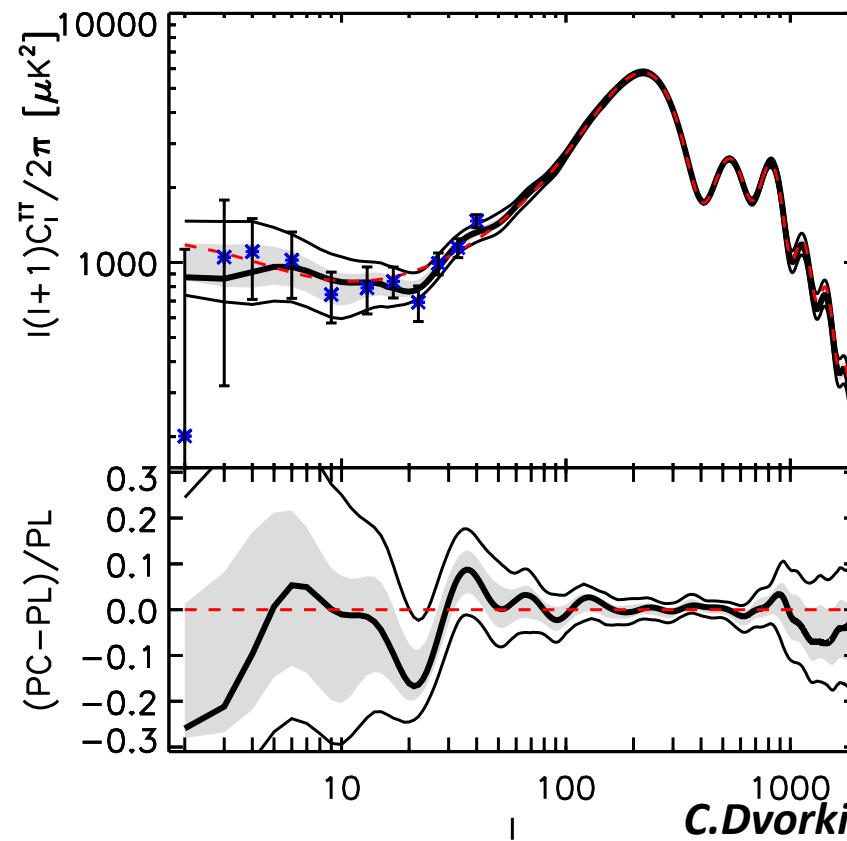
Main bottleneck in the likelihood code:

- OMP parallelized **WMAP likelihood code** and improved its speed by $\sim 5^*N_{core}$
Publicly available:
http://background.uchicago.edu/wmap_fast/

**WMAP7 + BICEP + QUAD data;
SN + H0 + BBN constraints.**

Model-independent test of Slow Roll

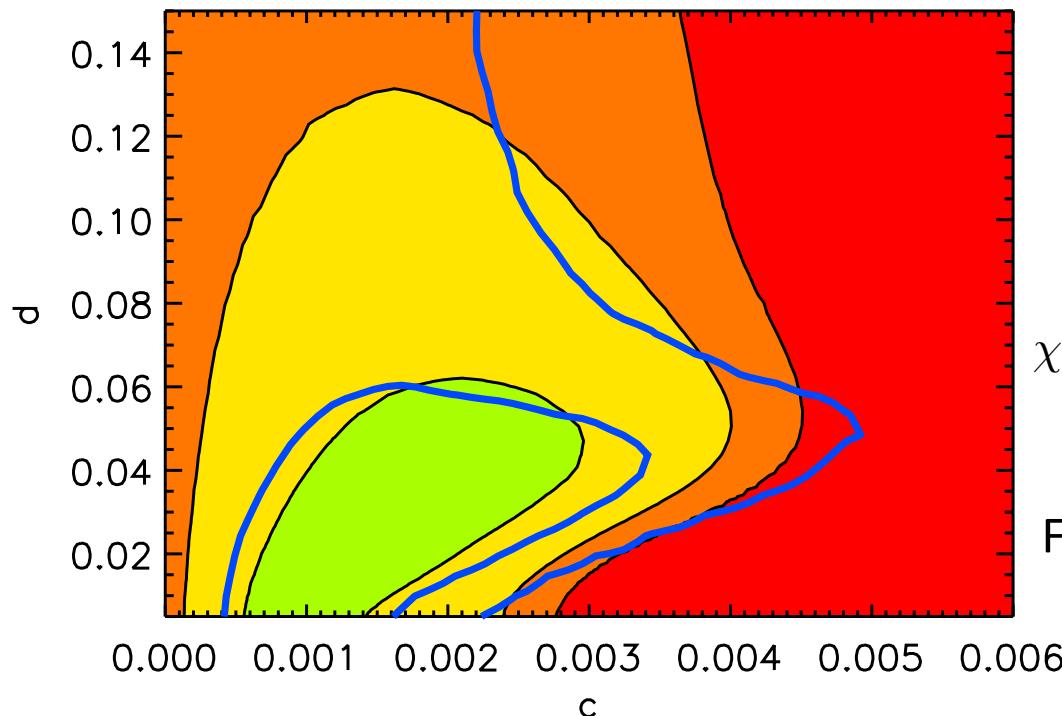
- The ML model only improves by $2\Delta \ln L = 17$ for the 20 additional parameters added.
- The marginal improvement is associated with features at $\ell < 60$.



Testing models of inflation

In practice, one can project any inflationary model onto the PC basis, and assess its significance using the means and covariance of our analysis:

$$\chi^2 = \sum_{a,b=1}^{20} [(m_a - \bar{m}_a) \mathbf{C}_{ab}^{-1} (m_b - \bar{m}_b)]$$



Example: step-potential

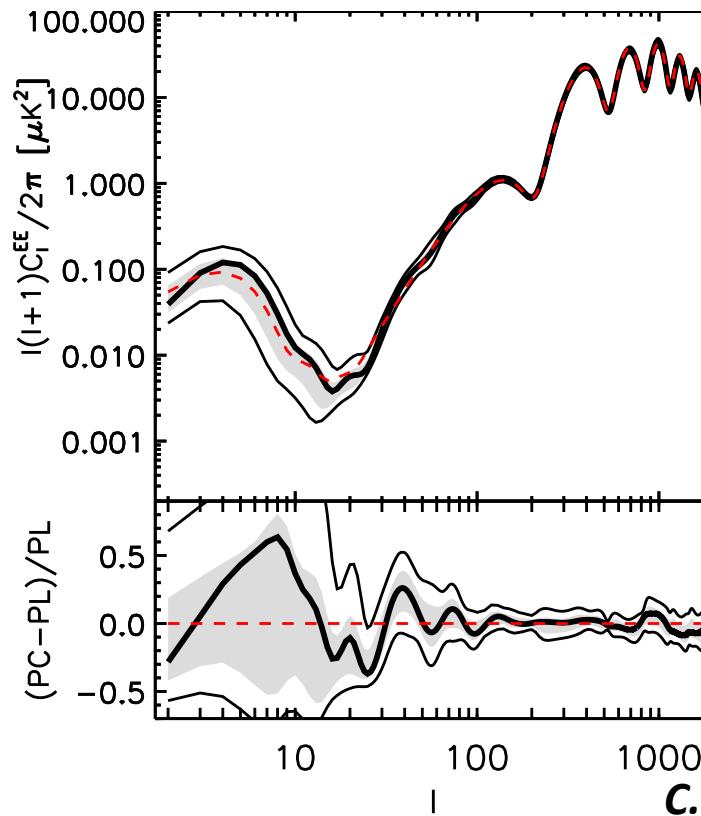
$$V(\phi) = \frac{1}{2} m^2 \phi^2 \left[1 + c \tanh \left(\frac{\phi - \phi_s}{d} \right) \right]$$

$$\begin{aligned} \chi^2 \text{ approx: } & c=0.0015, d=0.026 \\ \Delta \chi^2 = & -10.2 \end{aligned}$$

$$\begin{aligned} \text{Full posteriors: } & c=0.0016, d=0.025 \\ -2\Delta \ln L = & -9.1 \end{aligned}$$

The Predictive Power of Polarization

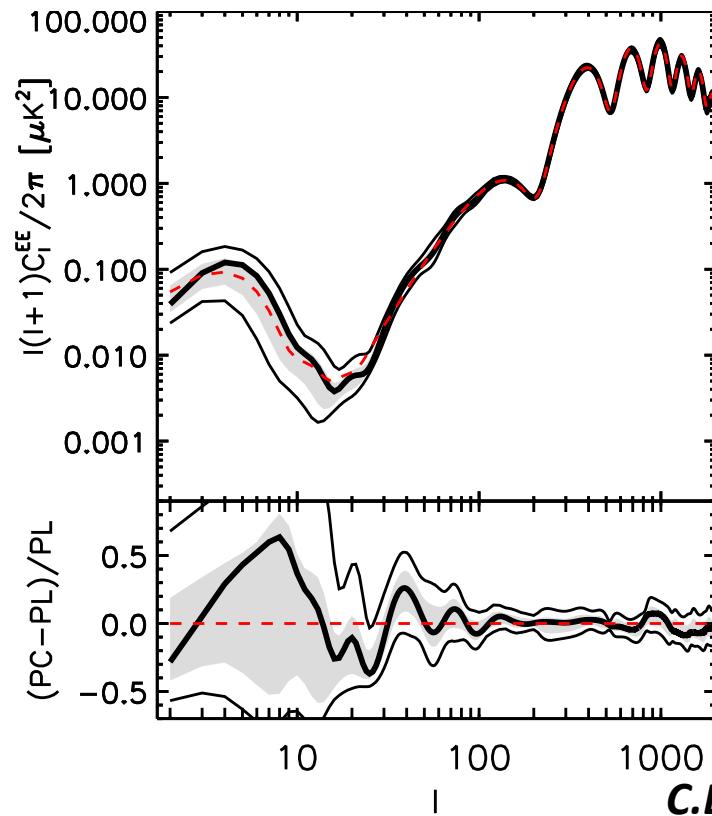
- Measurements at $\ell = 20 - 40$ (at the 40% level) will test the feature hypothesis at $2.5-3\sigma$ with Planck and $5-8\sigma$ with CMBPol.
Caveat: confusion with reionization features. *M.Mortenson, C.Dvorkin, H.V.Peiris, W.Hu, PRD (2009)*



C.Dvorkin, W.Hu, PRD (2011) 32

Model-independent test of single-field inflation

- Measurements lying outside these bounds could potentially rule-out single field inflation.

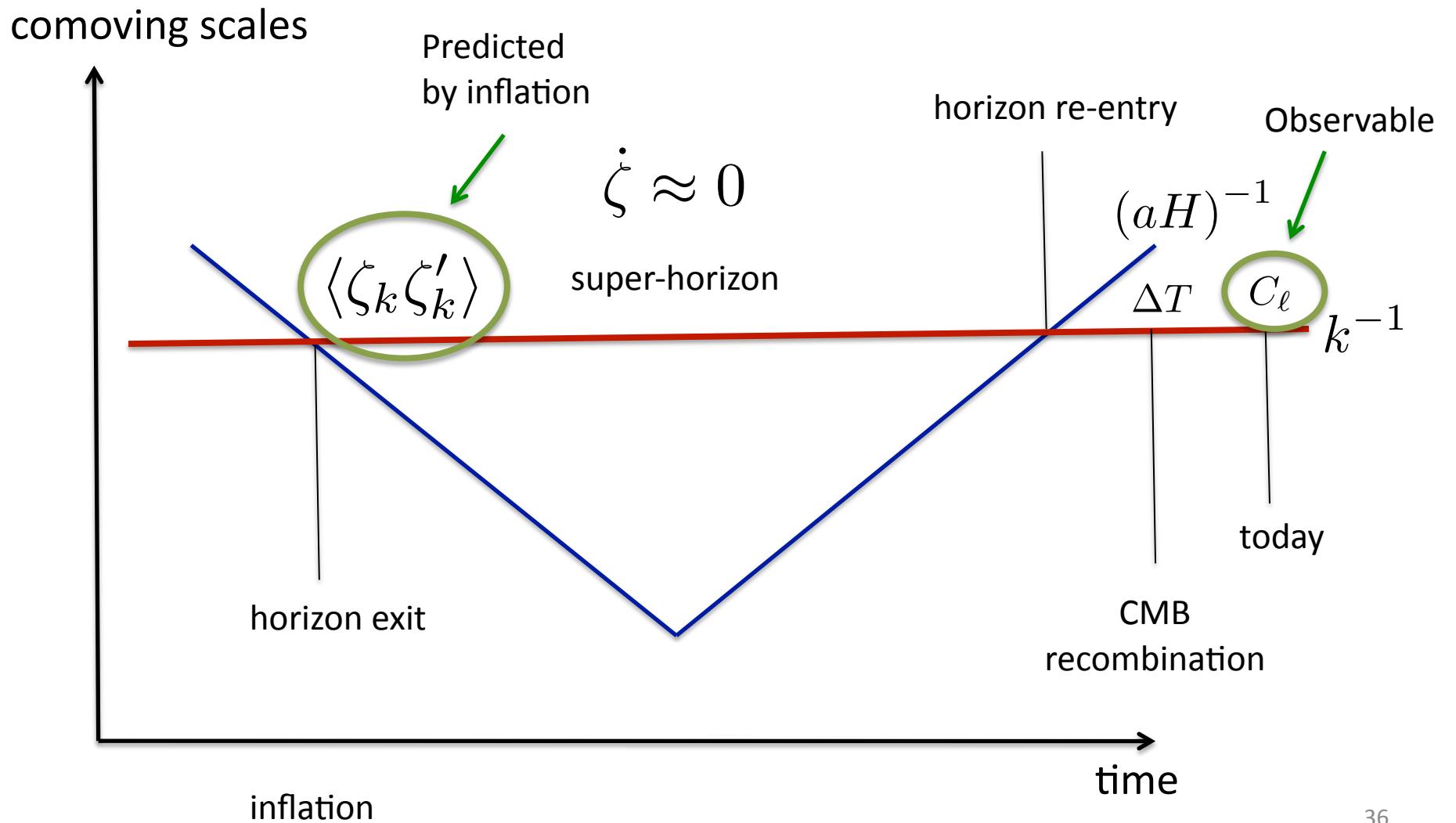


WMAP7 + BICEP + QUAD data;
SN + H0 + BBN constraints.

Conclusions and future directions

- Introduced a general formalism to constrain the inflationary potential from the data allowing for large amplitude and rapidly varying deviations from slow roll.
 - Constraints around the first acoustic peak are consistent with a smooth inflationary potential. A complete analysis of inflationary features shows no significant deviations from slow roll.
 - Matching features in the polarization power spectrum would test their inflationary origin.
 - Model-independent test of single-field inflation.
 - This analysis can be used to constrain parameters of specific models inflation without requiring a separate likelihood analysis for each choice.
- Work in progress:
- Construct an analogous formalism for calculating the bispectrum from the shape of the $V(\phi)$ potential.

Extra slides



Generalized Slow Roll

E.Stewart, PRD (2002)

- Field equation:

$$(y = \sqrt{2k}u_k; x = k\eta)$$

$$\frac{d^2y}{dx^2} + \left(1 - \frac{2}{x^2}\right)y = \frac{g(\ln x)}{x^2}y$$

Source function

- de-Sitter solution:

$$\frac{d^2y_0}{dx^2} + \left(1 - \frac{2}{x^2}\right)y_0 = 0$$

- GSR approximation:

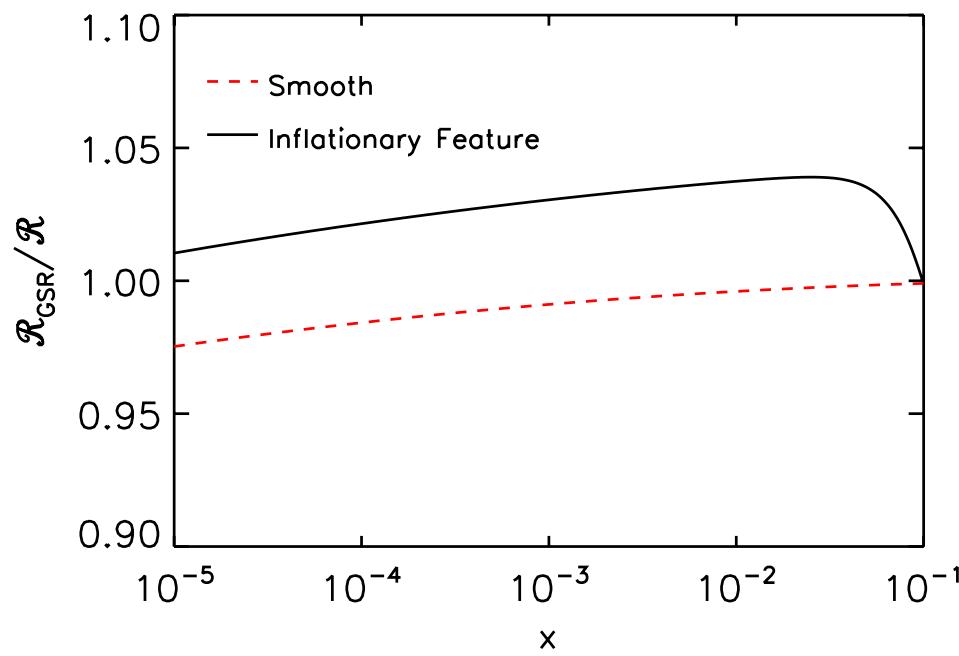
$$\frac{d^2y}{dx^2} + \left(1 - \frac{2}{x^2}\right)y = \frac{g(\ln x)}{x^2}y_0$$

Solution can be constructed with a **Green function approach**:

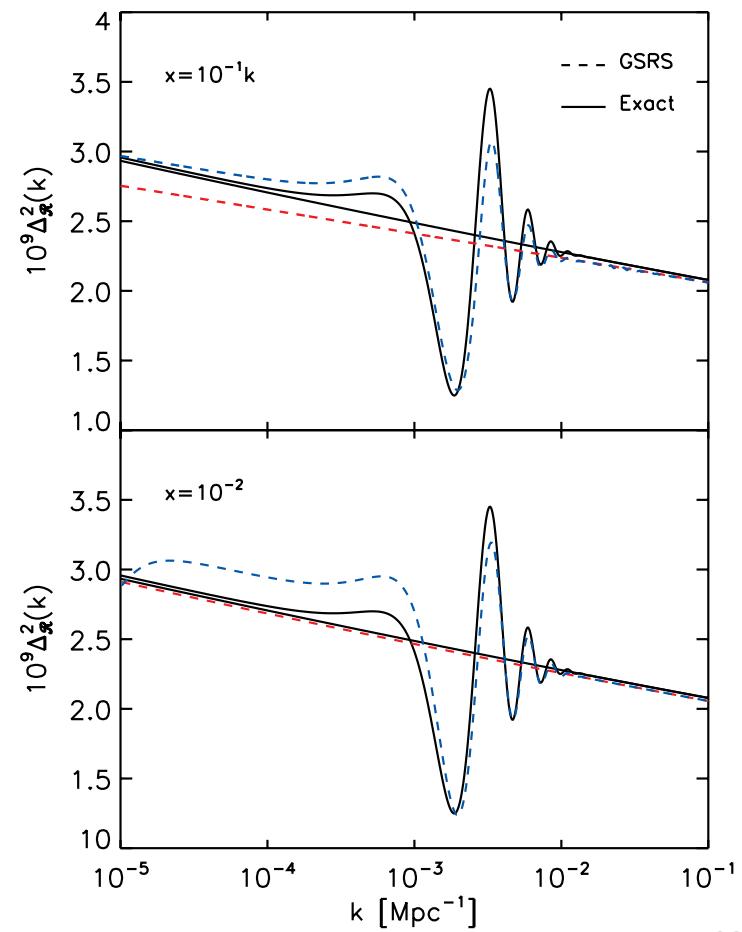
$$y(x) \approx y_0(x) - \int_x^\infty \frac{du}{u^2} g(\ln u) y_0(u) \text{Im}[y_0^*(u) y_0(x)]$$

Superhorizon evolution

Main problem: curvature is **not constant** for modes outside the horizon.



C.Dvorkin, W.Hu, PRD (2009)



Our GSR solution for large features

- The curvature power spectrum depends on a **single source function**:

$$\begin{aligned}\ln \Delta_{\mathcal{R}}^2(k) &= G(\ln \eta_{\min}) + \int_{\eta_{\min}}^{\eta_{\max}} \frac{d\eta}{\eta} W(k\eta) G'(\ln \eta) \\ &\quad + \ln \left[1 + \frac{1}{2} \left(\int_{\eta_{\min}}^{\eta_{\max}} \frac{d\eta}{\eta} X(k\eta) G'(\ln \eta) \right)^2 \right]\end{aligned}$$

C.Dvorkin, W.Hu, PRD (2009)

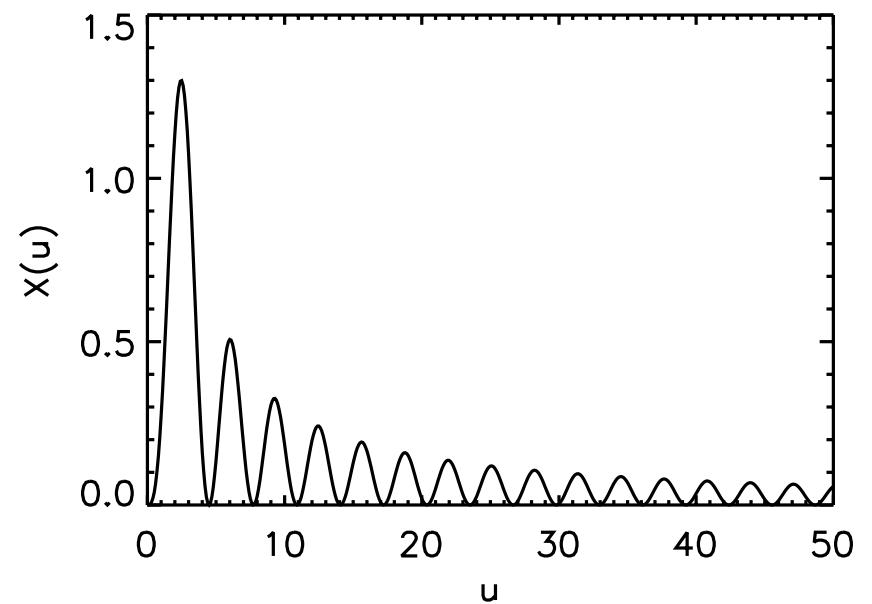
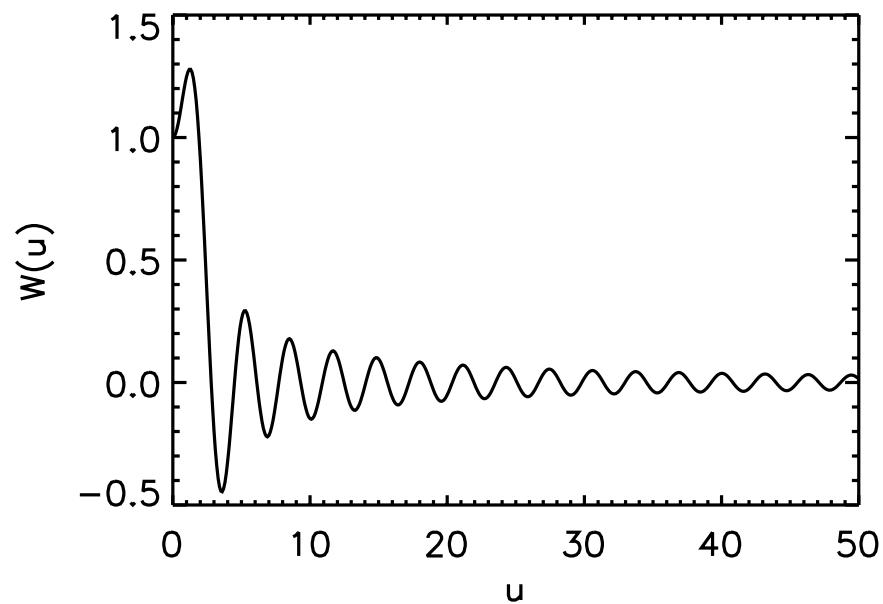
- Source function on deviations from scale-invariance:

$$G' = \frac{2}{3} \left[\frac{f''}{f} - 3 \frac{f'}{f} - \left(\frac{f'}{f} \right)^2 \right] \quad \text{with} \quad f = 2\pi\eta \frac{\dot{\phi}}{H}$$

$\bullet = d/dt$
 $\cdot = d/d\ln\eta$

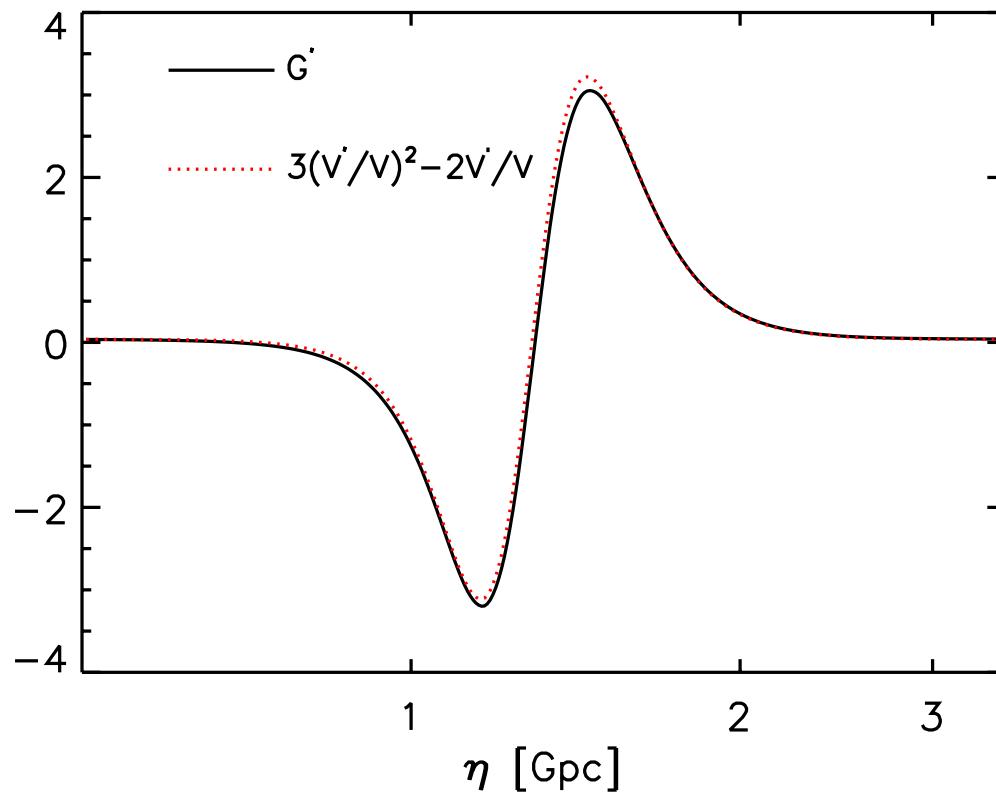
Second order correction to the source

GSR Green functions



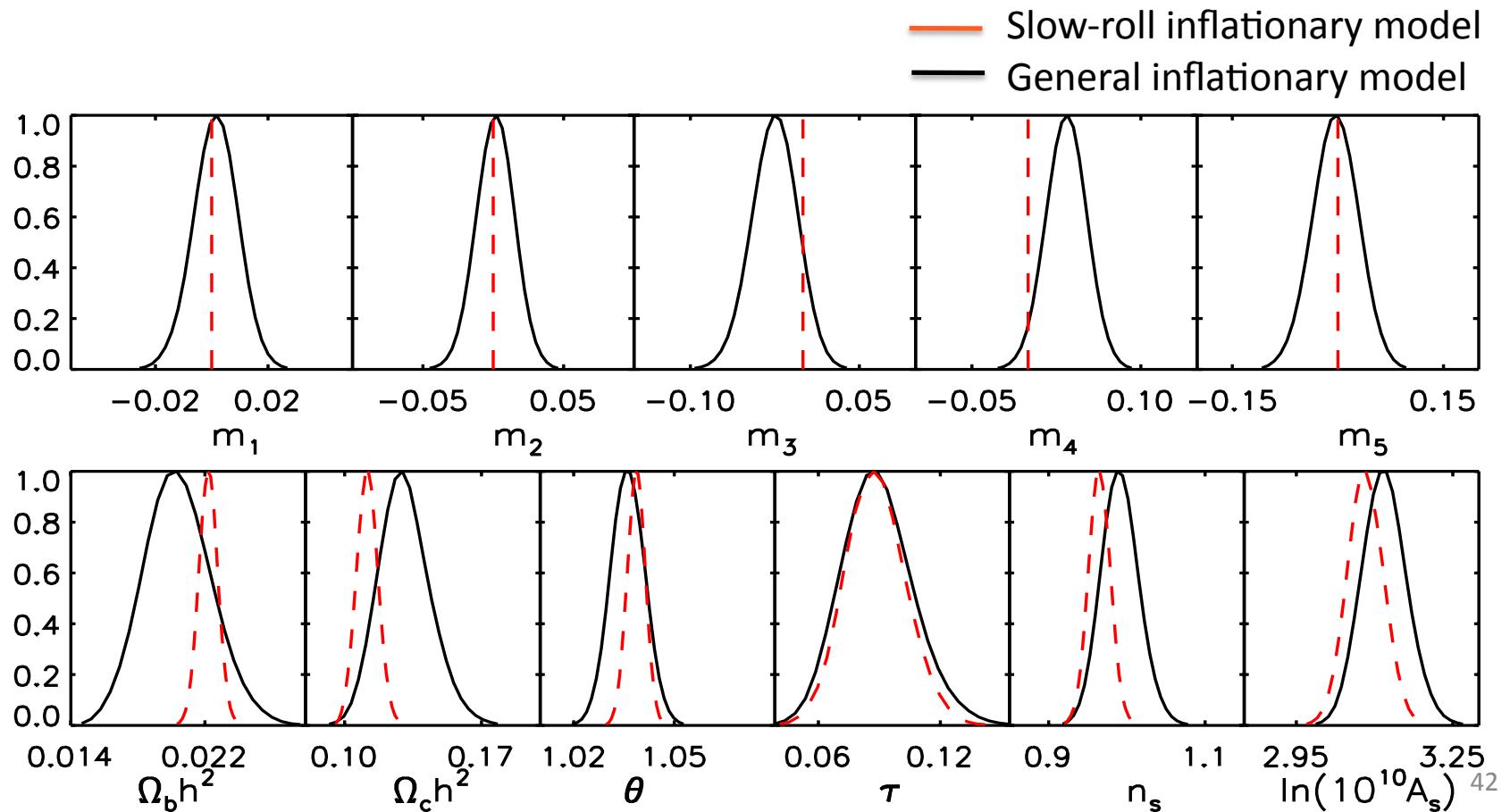
The source function and the Potential

- Same functional dependence on the potential as the tilt in standard slow roll if features are crossed for an e-fold or less.
- Source has information on deviations from de-Sitter solution.

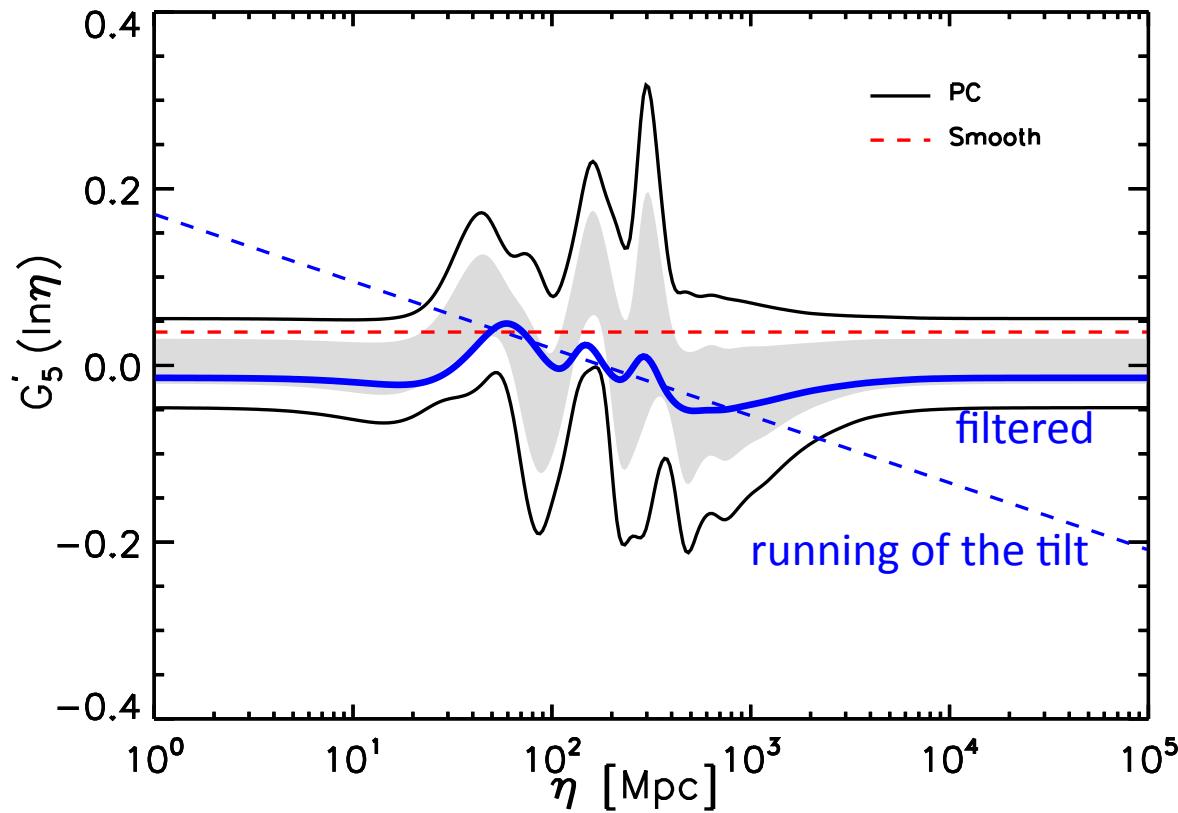


WMAP7 constraints on the first 5 PCs

- The 4th component carries most of the information about running of the tilt.
- It resembles a local running of the tilt for $\ell \sim 30 - 800$, but it is marginally consistent with a constant running beyond this range.



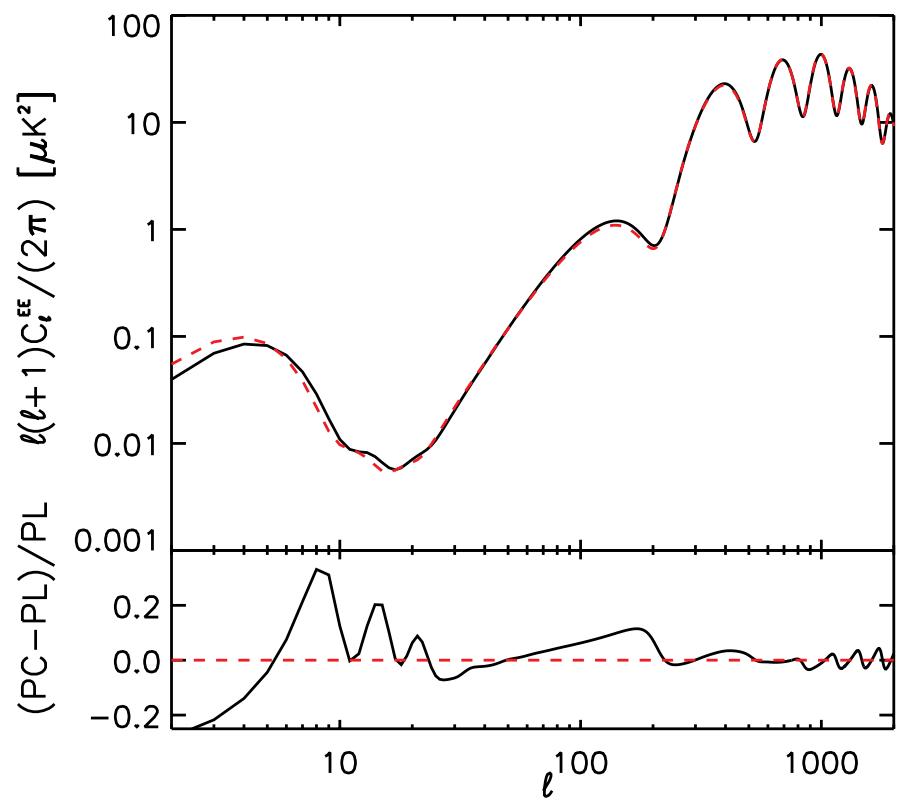
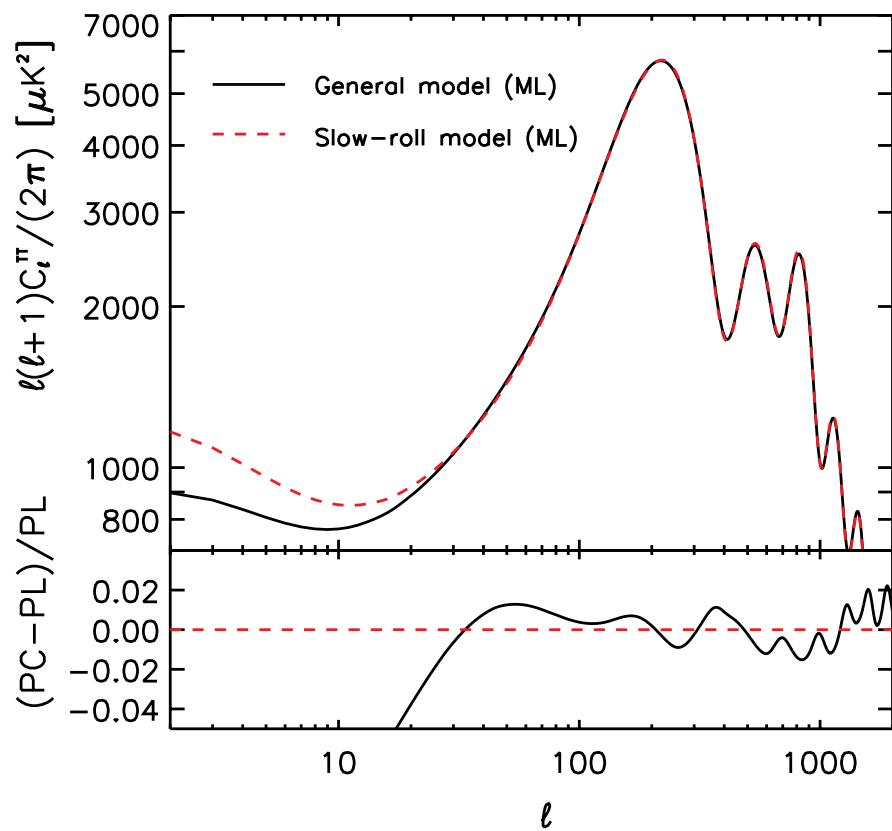
Constraints on the source function with 5 PCs



WMAP7, BICEP, QUAD;
SN, H0, BBN constraints;
flat universe.

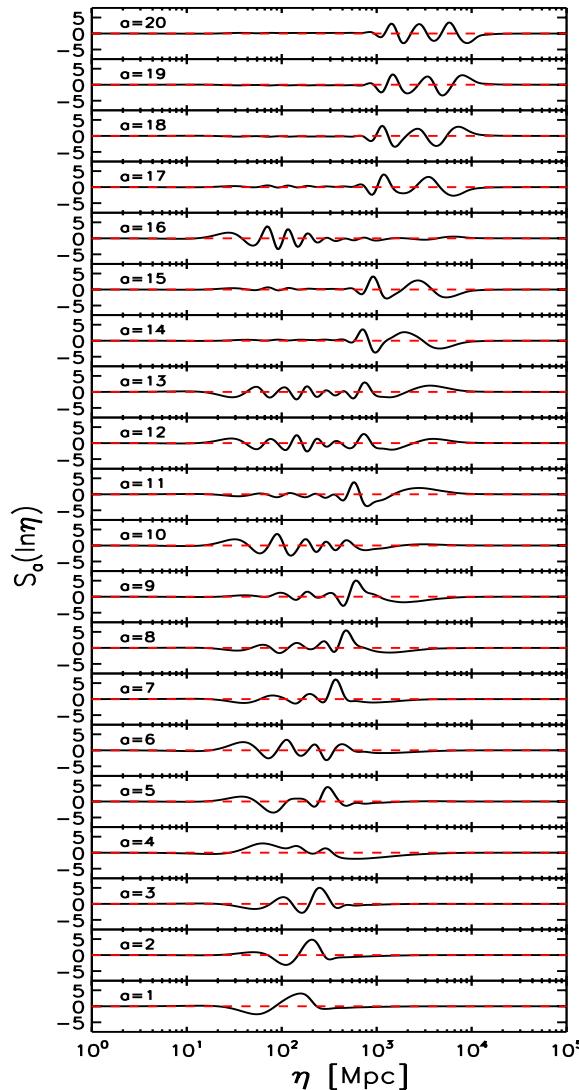
Future data: better constraints!

- Small-scale temperature measurements at $\ell > 1000$ and future polarization data at better than 10% at $\ell > 100$ (Planck) will improve inflationary constraints.



C.Dvorkin, W.Hu, PRD (2009)

Complete basis for Inflationary Features



- Complete basis for describing inflationary features that vary no more rapidly than 10 per decade in η .
- Features at low multipoles ($\eta = [10^3 - 10^4]$ Mpc) are represented by higher components: $S_{11} - S_{20}$

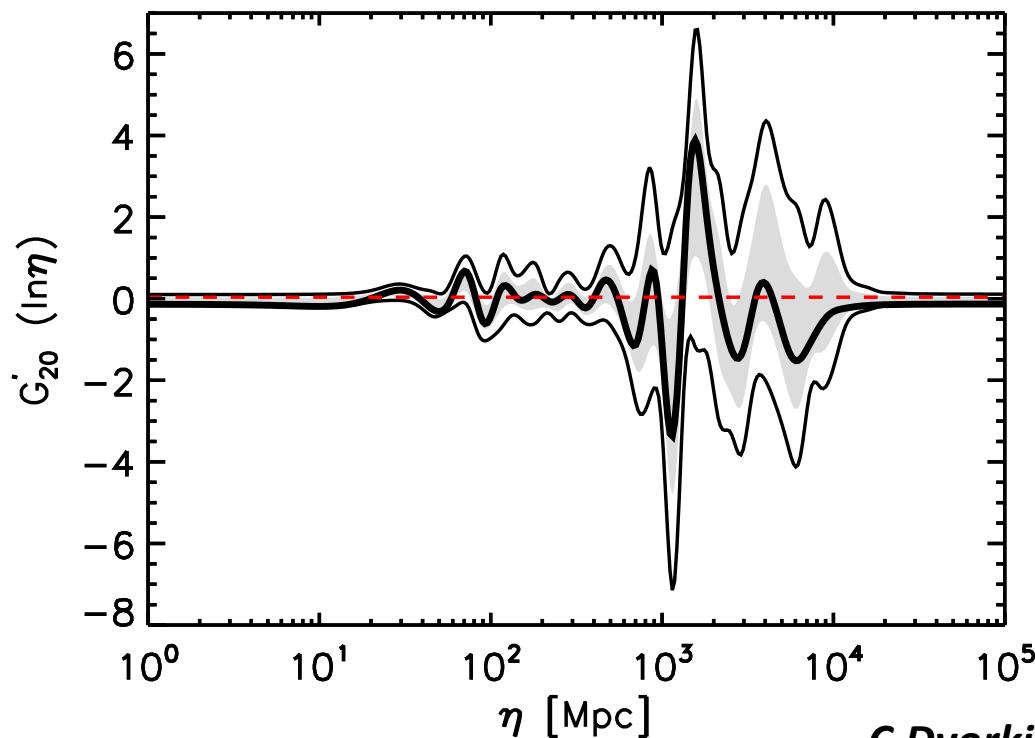
C.Dvorkin, W.Hu, PRD (2011)

Model-independent test of Slow Roll

- Constraints on G' impose constraints on features in the inflationary potential:

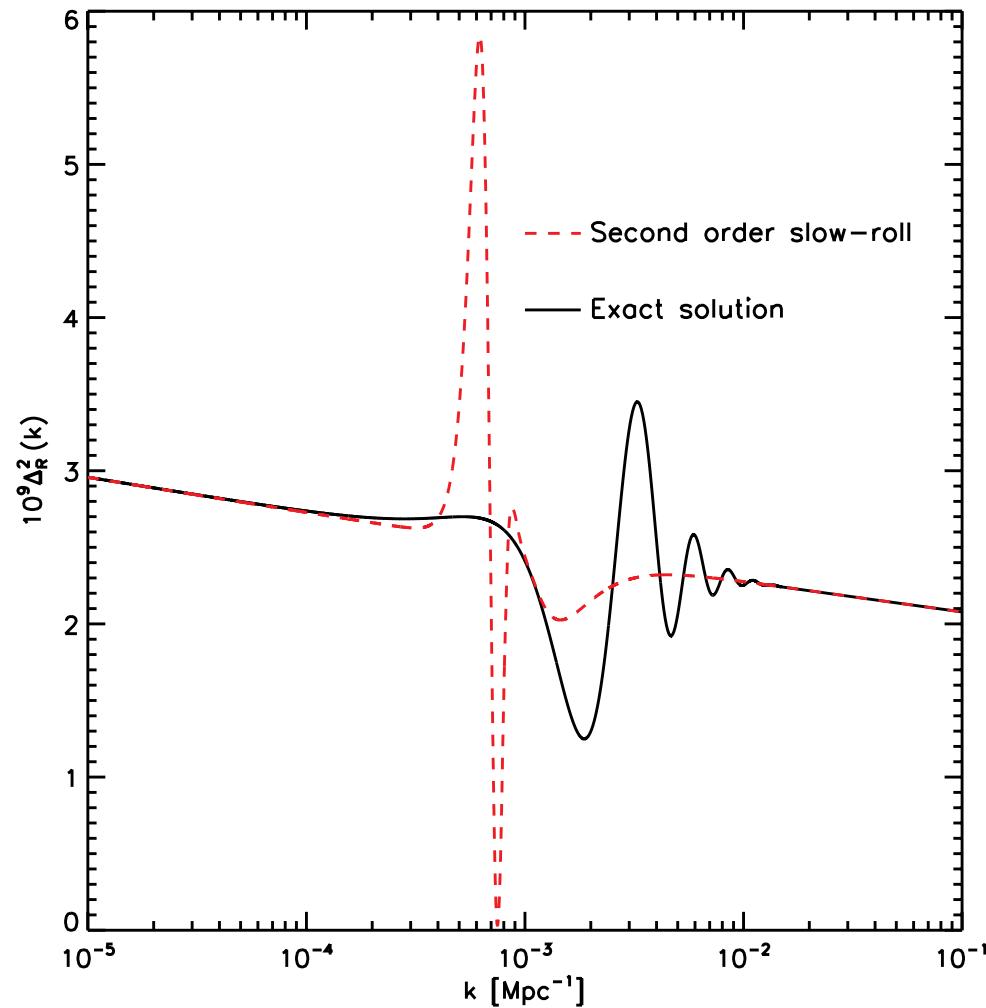
$$G' \approx 3 \left(\frac{V_{,\phi}}{V} \right)^2 - 2 \left(\frac{V_{,\phi\phi}}{V} \right)$$

- Deviations from zero would indicate a violation of slow roll.

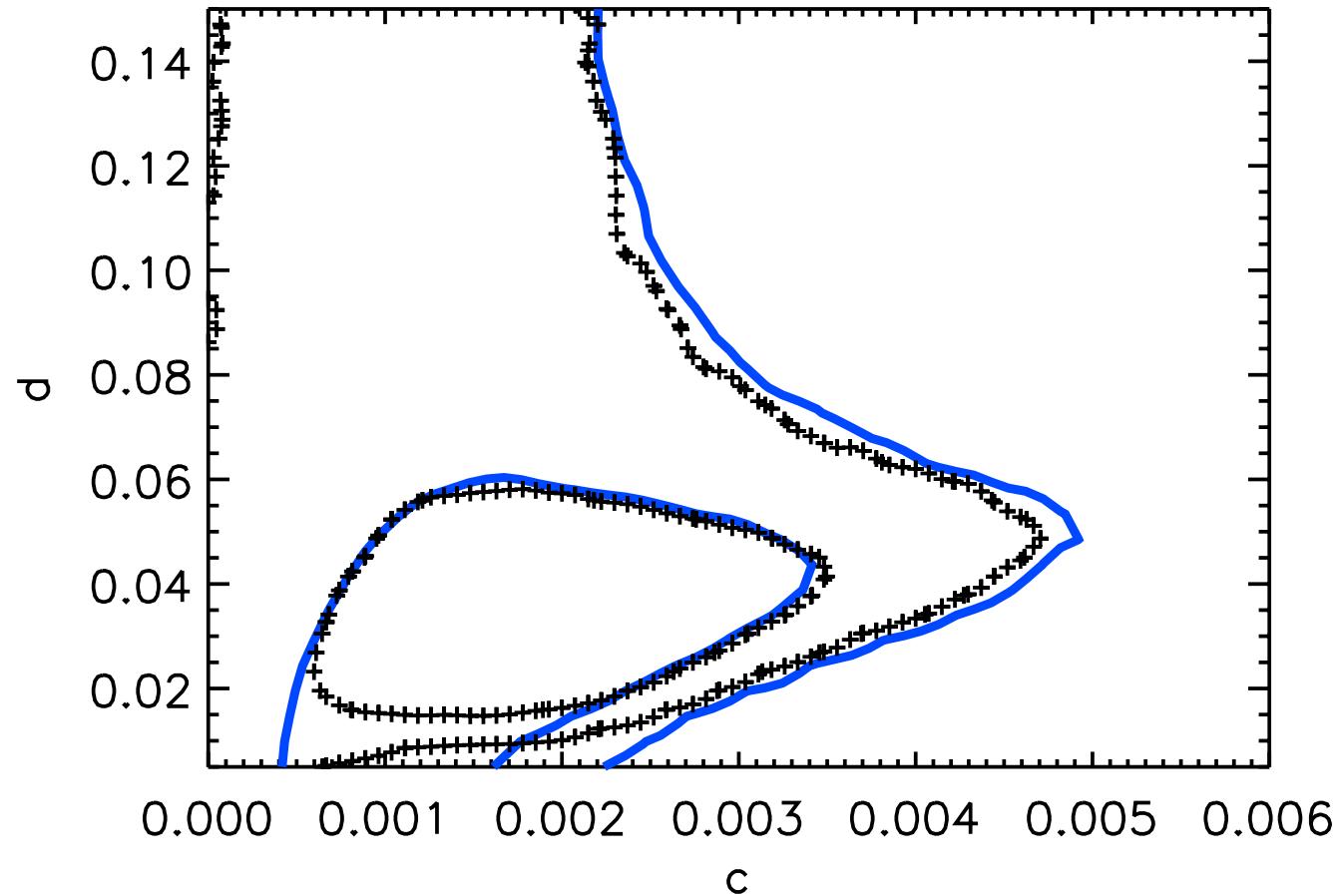


WMAP7 + BICEP + QUAD data;
SN + H0 + BBN constraints.

Breaking Slow Roll



20 PCs vs. full GSR



Markov Chain Monte Carlo technique

- General method for efficiently obtaining constraints on parameters $\{\theta_1, \theta_2, \dots, \theta_N\}$ given a probability distribution $P(\theta_1, \theta_2, \dots, \theta_N)$
- Metropolis algorithm moves from position in parameter space $\vec{\theta}$ to $\vec{\theta}'$ with transition probability:

$$T(\vec{\theta}, \vec{\theta}') = \min\left\{1, \frac{P(\vec{\theta}')}{P(\vec{\theta})}\right\} q(\vec{\theta}, \vec{\theta}')$$

↑
Proposal density

- This choice of transition probability ensures that the Markov chain has a stationary asymptotic probability distribution:

$$P(\vec{\theta}')T(\vec{\theta}', \vec{\theta}) = P(\vec{\theta})T(\vec{\theta}, \vec{\theta}')$$

MCMC optimizations

- Likelihood corrections:
 - Low- ℓ polarization approximation
 - Lensing off
 - Low- ℓ sampling
- Thinning
(samples are highly correlated)
- Post-process (parallel)
- Change in parameterization
(to reduce parameter degeneracies):
 - Tilt averaged over a narrower range in η
 - Normalization in ℓ -space